

Simulating Impedance Spectra from a Mechanistic Point of View: Theory and Simulations

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The faradaic impedance for complex mechanisms with one, two or three adsorbed intermediates has been developed using an analytical solution. A number of mechanisms have been simulated and some general characteristics are presented. For a mechanism with two or more adsorbed intermediates there is no unambiguous relationship between the experimental time constants, obtained from the spectrum, and the time constants found from the mechanistic model. It is also shown that for mechanisms involving pure chemical steps the $\ln(10)R_pI_{ss}$ product cannot always be used as a Tafel value.

The investigation of complex heterogeneous electrochemical processes often requires transient techniques. Depending on the technique used, the response of the transient is analysed in either the time or the frequency domain. Impedance spectroscopy (IS) is an example of frequency response analysis which has been shown to be useful in both applied and fundamental electrochemistry, as well as in other disciplines.^{1,2} The power of the technique is its ability to distinguish between processes with different time constants at the interface. This is especially important for the investigation of heterogeneous electrochemical reaction mechanisms, where adsorption and desorption processes are likely to occur.

In order to extract mechanistic information from IS it is important to measure the potential dependence of the impedance and the steady-state current in the potential region of interest. Since there are no *a priori* methods to determine a reaction mechanism from impedance and polarization data, a model has to be proposed and tested for the various experimental conditions. In IS, chemical models as well as purely electrical models have been used to interpret reaction mechanisms. The electrical models are necessary to use in more complicated systems, where details of the individual steps in the reaction mechanism are unattainable. However, with a chemical model a more fundamental coupling between the detailed mechanism and experimental data is obtainable. An important part of the IS investigation is therefore the derivation of the impedance function for a certain reaction mechanism. This function is usually very complicated, even for simple mechanisms with few elementary steps involved. Thus it is very difficult to predict the impedance behaviour without any calculations. Although the number of studies in which

IS has been used has grown quickly during the last decade, not much has been written about how the impedance characteristics are related to different types of reaction models; for example, how the impedance is affected by a change in the rate-determining step or the presence of pure chemical or catalytical steps.

We have developed a computer program for the calculation of the steady-state polarization curves and the impedance spectra for a number of reaction mechanisms. By varying parameters such as the rate constants and the potential, we are able to study the polarization and impedance characteristics of different reaction models. With the zinc dissolution reaction in mind a number of reaction mechanisms have been simulated.

In a subsequent paper³ the details of the anodic dissolution of zinc in slightly acidic solution will be presented.

Derivation of the impedance

The impedance function is a special case of the more general transfer function used in system analysis. If the perturbation signal $x(t)$ is a sine wave [eqn. (1)], the response $y(t)$ is also a sine wave [eqn. (2)], with an angular frequency ω and a phase shift φ .

$$x(t) = X \sin \omega t \quad (1)$$

$$y(t) = Y \sin (\omega t + \varphi) \quad (2)$$

The transfer function may then be defined by eqn. (3), where $|H(\omega)| = Y/X$.

$$H(\omega) = |H(\omega)| \exp (i \varphi) \quad (3)$$

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If $x(t)$ is a voltage and $y(t)$ is a current then $H(\omega)$ is an admittance value, the inverse of the impedance value. The transfer function is only valid when the system fulfils the following conditions: (1) causality (the response of the system must be caused by the input signal only); (2) stability (the system must return to its initial steady state after the perturbation); (3) linearity (the system must show a linear response to the perturbation) and (4) infinity (the impedance must be finite when the frequency goes towards zero and infinity and at all intermediate frequencies). These conditions can be tested by the use of the Kramers–Kronig transformation on a set of data. The Kramers–Kronig transformation has been treated in detail for electrochemical purposes.⁴⁻⁷

The measured quantity in IS is usually the AC current. In order to simulate the impedance spectra we must derive how this current responds to the voltage perturbation for a given reaction mechanism. There are several ways to do this. We have used the method outlined by Epelboin *et al.*⁸ However, this method rests on some additional assumptions that have to be made: (1) the reactions follow Tafel kinetics; (2) the adsorption of intermediates follow the Langmuir isotherm and (3) charge transfer and adsorption/desorption are the only processes occurring at the interface.

Under these conditions the faradaic impedance is defined by eqn. (4), where $\Delta E = E - E_{ss}$ and $\Delta I_f = I_f - I_{ss}$. If

$$\frac{1}{Z_f} = \frac{\Delta I_f}{\Delta E} \quad (4)$$

the perturbation signal is a sine wave we may express it by eqn. (5).

$$\Delta E = |\Delta E| \exp(i\omega t) \quad (5)$$

The faradaic current is a function of potential but may also be dependent on other state variables, such as the concentration of adsorbed intermediates. The deviation of the faradaic current from its steady-state value is therefore obtained by taking the total differential of the current with respect to all state variables depending on E . If we use a small sine wave (<10 mV) to perturbate the system we can approximate a linear relation between the current and the potential. The differential may then be obtained by using terms of first order only, as in eqn. (6), where θ_i is the

$$\Delta I_f = \frac{\partial I_f}{\partial E} \Delta E + \sum_i \frac{\partial I_f}{\partial \theta_i} \Delta \theta_i \quad (6)$$

fraction of the electrode covered by the adsorbed species i . Division by ΔE gives the faradaic admittance $Y_f = 1/Z_f$, as in eqn. (7), in which quantities are derived from the charge-

$$\frac{1}{Z_f} = \frac{\partial I_f}{\partial E} + \sum_i \frac{\partial I_f}{\partial \theta_i} \frac{\Delta \theta_i}{\Delta E} \quad (7)$$

and mass-balance equations under steady-state conditions.

The charge balance is given by eqn. (8), where K_n is the

$$I = F \left[\sum_n (K_n - K_{-n}) \right] \quad (8)$$

normalized rate constant (in mol cm⁻² s⁻¹), which is the product of the rate constant k_n and the concentration of the reaction species. The rate constants are assumed to vary exponentially with the potential as in eqn. (9) (anodic reactions) and eqn. (10) (cathodic reactions).

$$k_n = k_n^0 \exp \left(\frac{(1-\alpha)zF}{RT} (E - E^0) \right) \quad (9)$$

$$k_{-n} = k_n^0 \exp \left(\frac{-\alpha zF}{RT} (E - E^0) \right) \quad (10)$$

The mass balance is written as eqn. (11), where v_{n,θ_i} is the

$$\beta_i \frac{d\theta_i}{dt} = \sum_n v_{n,\theta_i} \quad (11)$$

rate of formation or disappearance of θ_i caused by the n th step (desorption rates taking negative values).

$\partial I/\partial E$ and $\partial I/\partial \theta_i$ are obtained from the charge balance equation. The steady-state solution, $\theta_{i,ss}$, is given from the mass balance equation with $d\theta/dt = 0$. $\Delta \theta_i/\Delta E$ can then be obtained by noting that for a small sine-wave perturbation of the potential, the total differential of $\beta_i d\theta_i/dt$ can be written as $i\omega \beta_i \Delta \theta_i$, where $\Delta \theta_i$ is the time variation of θ_i caused by the perturbation signal [$\Delta \theta_i = |\Delta \theta_i| \exp(i\omega t)$]. If all β_i are equal to β we obtain the set of linear equations (12)–(14).

$$i\omega \beta \Delta \theta_1 = \frac{\partial \left(\frac{d\theta_1}{dt} \right)}{\partial E} \Delta E + \sum_{i=1}^m \frac{\partial \left(\frac{d\theta_1}{dt} \right)}{\partial \theta_i} \Delta \theta_i \quad (12)$$

$$i\omega \beta \Delta \theta_2 = \frac{\partial \left(\frac{d\theta_2}{dt} \right)}{\partial E} \Delta E + \sum_{i=1}^m \frac{\partial \left(\frac{d\theta_2}{dt} \right)}{\partial \theta_i} \Delta \theta_i \quad (13)$$

$$i\omega \beta \Delta \theta_m = \frac{\partial \left(\frac{d\theta_m}{dt} \right)}{\partial E} \Delta E + \sum_{i=1}^m \frac{\partial \left(\frac{d\theta_m}{dt} \right)}{\partial \theta_i} \Delta \theta_i \quad (14)$$

After rearrangement and division by ΔE the system of equations can be solved for $\Delta \theta_i/\Delta E$. The solution of the equation system when the number of state variables is one or two has been given by Cao.^{9,10} We have generalized the solution to include also three variables. The solutions are given by eqns. (15)–(20).

$m = 1$:

$$\frac{\Delta\theta_1}{\Delta E} = \frac{a_1}{T + i\omega\beta} \quad (15)$$

$m = 2$:

$$\frac{\Delta\theta_1}{\Delta E} = \frac{-J_{22}a_1 + J_{12}a_2 + i\omega\beta a_1}{D - \omega^2\beta^2 + i\omega\beta T} \quad (16)$$

$$\frac{\Delta\theta_2}{\Delta E} = \frac{-J_{21}a_1 + J_{11}a_2 + i\omega\beta a_2}{D - \omega^2\beta^2 + i\omega\beta T} \quad (17)$$

$m = 3$:

$$\frac{\Delta\theta_1}{\Delta E} = \frac{-L_{11}a_1 + L_{21}a_2 - L_{31}a_3 + i\omega\beta[(J_{22} + J_{33})a_1 - J_{12}a_2 + J_{13}a_3] + \omega^2\beta^2 a_1}{D - i\omega\beta(L_{11} + L_{22} + L_{33}) - \omega^2\beta^2 T + i\omega^3\beta^3} \quad (18)$$

$$\frac{\Delta\theta_2}{\Delta E} = \frac{L_{12}a_1 - L_{22}a_2 + L_{32}a_3 + i\omega\beta[-J_{21}a_1 + (J_{11} + J_{33})a_2 - J_{23}a_3] + \omega^2\beta^2 a_2}{D - i\omega\beta(L_{11} + L_{22} + L_{33}) - \omega^2\beta^2 T + i\omega^3\beta^3} \quad (19)$$

$$\frac{\Delta\theta_3}{\Delta E} = \frac{-L_{13}a_1 + L_{23}a_2 - L_{33}a_3 + i\omega\beta[J_{31}a_1 - J_{32}a_2 + (J_{11} + J_{22})a_3] + \omega^2\beta^2 a_3}{D - i\omega\beta(L_{11} + L_{22} + L_{33}) - \omega^2\beta^2 T + i\omega^3\beta^3} \quad (20)$$

The parameters a_i , J_{ij} , L_{ij} , D and T are defined in Appendix I. With an additional set of parameters, A , B , C and S (also defined in Appendix I) the faradaic impedance, eqn. (7), can be written as eqns. (21)–(23), where $1/R_t =$

$m = 1$:

$$\frac{1}{Z_t} = \frac{1}{R_t} + \frac{B}{T + i\omega\beta} \quad (21)$$

$m = 2$:

$$\frac{1}{Z_t} = \frac{1}{R_t} + \frac{A + i\omega\beta B}{D - \omega^2\beta^2 + i\omega\beta T} \quad (22)$$

$m = 3$:

$$\frac{1}{Z_t} = \frac{1}{R_t} + \frac{A' + i\omega\beta C + \omega^2\beta^2 B}{D - i\omega\beta S - \omega^2\beta^2 T + i\omega^3\beta^3} \quad (23)$$

$\partial I/\partial E$. The interfacial impedance, Z_t , is obtained by adding the double-layer capacitance, C_{dl} , in parallel with the faradaic impedance eqn. (24) or (25). An example of this

$$\frac{1}{Z_t} = \frac{1}{Z_f} + i\omega C_{dl} \quad (24)$$

$$Z_t = \frac{Z_f}{1 + i\omega C_{dl} Z_f} \quad (25)$$

derivation is given in Appendix II, where a mechanism with two adsorbed intermediates is treated in detail.

Results

In the following the characteristics of some mechanisms will be reported. The aim is to illustrate the influence of an autocatalytic step and/or a chemical step on the impedance and polarization behaviour. The mechanisms have been chosen with the zinc dissolution reaction in mind. The impedance functions are, as mentioned, often very complex, and in most cases several parameters are free to vary. It is therefore not possible to view the whole impedance surface. We have chosen to present the results in three-dimensional plots where the xz -plane corresponds to the $Z'Z''$ -plane and the y -axis is the potential. Each diagram shows the resulting spectra for one set of rate constants at five potentials, 10, 20, 30, 40 and 50 mV, respectively. The rate constants used in the simulations were chosen so that one step in the reaction mechanism was made slow compared to the others. In some cases other combinations were used in order to illustrate certain features. The combinations of rate constants are listed in Tables 1–4. Other parameters of the corresponding mechanisms are listed in Table 5. E° is in all simulations equal to 0 mV.

EE-mechanism:

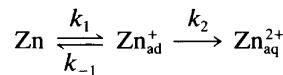


Table 1. Rate constants (in mol cm⁻² s⁻¹) used in the simulation of the EE-mechanism and the catalytic EE-mechanism.

Fig.	K_1°	K_{-1}°	K_2°
1(b)/2(b)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸
1(c)/2(c)	10 ⁻⁸	10 ⁻⁸	4 × 10 ⁻⁸
1(d)/2(d)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷
1(e)/2(e)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁸

Table 2. Rate constants (in mol cm⁻² s⁻¹) used in the simulation of the EEC-mechanism.

Fig.	K_1°	K_{-1}°	K_2°	K_{-2}°	K_{c3}°
3(b)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷
3(c)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷
3(d)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸
3(e)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁸	10 ⁻⁸	10 ⁻⁸

Table 3. Rate constants (in mol cm⁻² s⁻¹) used in the simulation of the ECE-mechanism.

Fig.	K_1^c	K_{-1}^c	K_{c2}^c	K_{-c2}^c	K_3^c
4(b)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷
4(c)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷
4(d)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸
4(e)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸

Table 4. Rate constants (in mol cm⁻² s⁻¹) used in the simulation of the parallel mechanism.

Fig.	K_1^c	K_{-1}^c	K_2^c	K_{c3}^c	K_{-c3}^c	K_4^c
5(b)	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷
5(c)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷
5(d)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸
5(e)	10 ⁻⁷	10 ⁻⁷	10 ⁻⁷	10 ⁻⁸	10 ⁻⁸	10 ⁻⁷

This reaction has been postulated for the dissolution of zinc.^{11,12} The monovalent Zn_{ad}⁺ is an intermediate which is adsorbed on the surface and is unstable in solution. The steady-state polarization curve, Fig. 1(a), shows Tafel slopes of 40 or 120 mV/decade of current ($\alpha = \frac{1}{2}$) depending on the rate-determining step. The impedance of this mechanism has been simulated with four different combinations of rate constants at five potentials. The impedance

behaviour is shown in Figs. 1(b)–(e). The high-frequency loop is associated with the charge transfer of the reaction, while the second is the relaxation of the adsorbed intermediate. This second loop may be inductive or capacitive, depending on the choice of rate constants. For this mechanism it can be shown that if $K_2 > K_1 + K_{-1}$ ($\partial I/\partial \theta > 0$) the relaxation of Zn_{ad}⁺ is inductive and vice versa. Thus, if the first step is in pseudo-equilibrium [Fig. 1(b)], two capacitive semicircles will appear. If, on the other hand, the second step is slightly faster, a very small inductive loop will show up [Fig. 1(c)]. However, this loop is very sensitive to anodic polarization, or to the choice of K_2 compared to K_1 and K_{-1} . For example, if $K_2/(K_1 + K_{-1}) \geq 5$ and an anodic polarization of more than 30 mV is simulated [Fig. 1(d)], the inductive loop will disappear and the Cole–Cole plot reveals only the charge-transfer loop. Fig. 1(e) shows the impedance spectra when all the rate constants are equal at $E = E^\circ$. At low potentials a small capacitive loop is present which disappears upon anodic polarization.

If the time constants are well separated, the diameter of the charge transfer loop gives the charge-transfer resistance directly. The diameter of the other semicircle depends on the rate ratio between the first and second electron-transfer steps. If the second step is very small compared to the first a large semicircle in the capacitive area of the Z-plane will be observed which becomes smaller as the rate of the second step is increased. If the second step is slightly faster than the first the diameter of the inductive semicircle goes through a maximum as the rate of the second electron transfer is made faster compared to the first. Thus if

Table 5. Parameters used in the simulations of the mechanisms.

Parameter	Mechanism				
	EE	EE-catalytic	EEC	ECE	Parallel E(E/CE)
$\partial I/\partial E^\circ$	$Fb[K_1 + (K_2 - K_{-1})\theta_{1,ss}]$	$Fb[K_1 + (4K_2 - K_{-1})\theta_{1,ss}]$	$Fb[K_1 + (K_2 - K_1 + K_{-1})\theta_{1,ss} - (K_1 - K_2)\theta_{2,ss}]$	$Fb[K_1 + (K_{-1} - K_1)\theta_{1,ss} + (K_3 - K_1)\theta_{2,ss}]$	$Fb[K_1 + (K_2 - K_1 + K_{-1})\theta_{1,ss} + (K_3 - K_1)\theta_{2,ss}]$
$\partial I/\partial \theta_1$	$F(K_2 - K_1 - K_{-1})$	$F(2K_2 - K_1 - K_{-1})$	$F(K_2 - K_1 - K_{-1})$	$-F(K_1 + K_{-1})$	$F(K_2 - K_1 - K_{-1})$
$\partial I/\partial \theta_2$			$-F(K_1 + K_2)$	$F(K_3 - K_1)$	$F(K_4 - K_1)$
$\theta_{1,ss}$	$\frac{K_1}{K_1 + K_{-1} + K_2}$	$\frac{K_1}{K_1 + K_{-1}}$	$\frac{K_1}{K_1 + K_{-1} + K_2 \left(1 + \frac{K_1 - K_2}{K_{c3} + K_2}\right)}$	$\frac{K_1}{K_1 + K_{-1} + K_{c2} \left(1 + \frac{K_1 - K_{-c2}}{K_3 + K_{-c2}}\right)}$	$\frac{K_1}{K_1 + K_{-1} + K_2 + K_{c3} \left(1 + \frac{K_1 - K_{-c3}}{K_4 + K_{-c3}}\right)}$
$\theta_{2,ss}$			$\frac{K_2\theta_{1,ss}}{K_3 + K_2}$	$\frac{K_{c2}\theta_{1,ss}}{K_3 + K_{-c2}}$	$\frac{K_{c3}\theta_{1,ss}}{K_4 + K_{-c3}}$
τ_{ads1}	$\frac{\beta}{K_1 + K_{-1} + K_2}$	$\frac{\beta}{K_1 + K_{-1}}$	$\frac{\beta}{K_1 + K_{-1} + K_2}$	$\frac{\beta}{K_1 + K_{-1} + K_2}$	$\frac{\beta}{K_1 + K_{-1} + K_2 + K_{c3}}$
τ_{ads2}			$\frac{\beta}{K_3 + K_2}$	$\frac{\beta}{K_3 + K_{-c2}}$	$\frac{\beta}{K_4 + K_{-c3}}$
I_{ss}	$2K_2\theta_{1,ss}$	$2K_2\theta_{1,ss}$	$2(K_2\theta_{1,ss} - K_{-2}\theta_{2,ss})$	$2K_2\theta_{1,ss} + (K_3 - K_{-c2})\theta_{2,ss}$	$(2K_2 + K_{c3})\theta_{1,ss} + (K_4 - K_{-c3})\theta_{2,ss}$

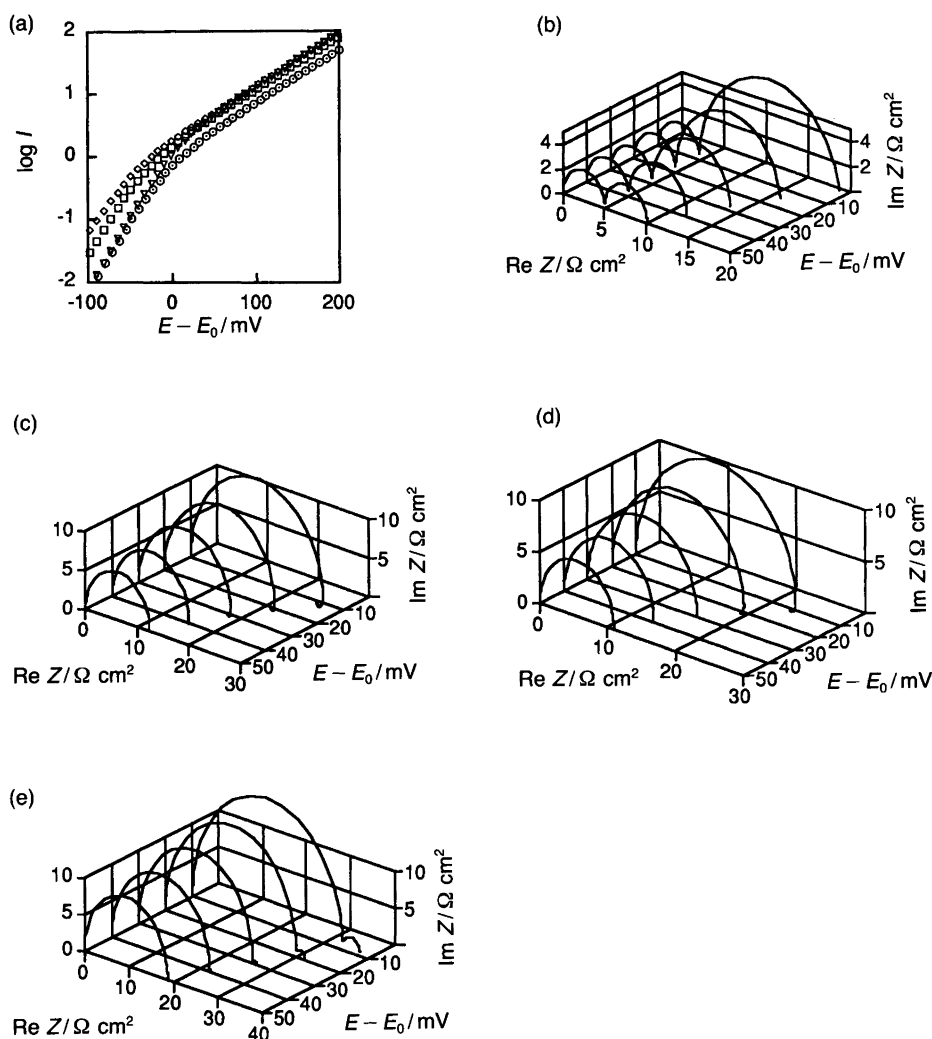
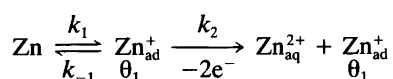


Fig. 1. Simulated polarization curves (a) and impedance spectra [(b)–(e)] for the EE-mechanism. (Impedance spectra simulated at 10, 20, 30, 40 and 50 mV.) (a) \diamond , First step r.d.s.; ∇ , second step r.d.s.; \square , second step slightly faster than the first; \odot , all rate constants equal at E° . (b) Second step r.d.s. at E° . (c) Second step slightly faster than the first at E° . (d) First step r.d.s. at E° . (e) All rate constants equal at E° .

$K_2 \gg (K_1 + K_{-1})$ no relaxation from the adsorbed species will be seen.

Catalytic EE-mechanism:



This reaction sequence is similar to the one proposed by Heusler¹³ for the dissolution of iron. The mechanism has also been suggested by some groups^{14–16} for zinc dissolution in chloride and sulfate media. The reaction of the Zn_{ad}^+ intermediate formed in the first step is catalysed by the presence of kink sites in the zinc lattice. The Zn atom in this kink site, Zn_k , is simultaneously oxidised to Zn_{ad}^+ . Thus, the number of electrons transferred in the autocatalytic step is two. As a result, the Tafel slopes from the steady-state polarization curve [Fig. 2(a)] are changed from

40 and 120 mV (the non-catalytic EE-mechanism) to 30 and 60 mV, respectively. The mechanism was simulated under the same conditions as the previous EE-mechanism, and its impedance behaviour is shown in Figs. 2(b)–(e). The conditions for inductive and capacitive behaviour in the low-frequency part of the spectrum are the same as for the EE-mechanism. That is, when the first step is in pseudo-equilibrium [Fig. 2(b)], the response of the Zn_{ad}^+ species will be capacitive and vice versa [Figs. 2(c) and (d)]. However, the diameter of the inductive loop in the catalytic mechanism is larger and remains in a broader range of anodic potentials. The stability of the inductive loop towards anodic polarization is due to a different potential dependence of the time constant compared to its non-catalytic equivalent. Fig. 2(e) shows the impedance spectra when all the rate constants are equal at $E = E^\circ$. In contrast to the EE-mechanism, the first step becomes the rate-determining step at very low overpotentials, which results in an inductive loop in the low-frequency region that also disappears upon anodic polarization.

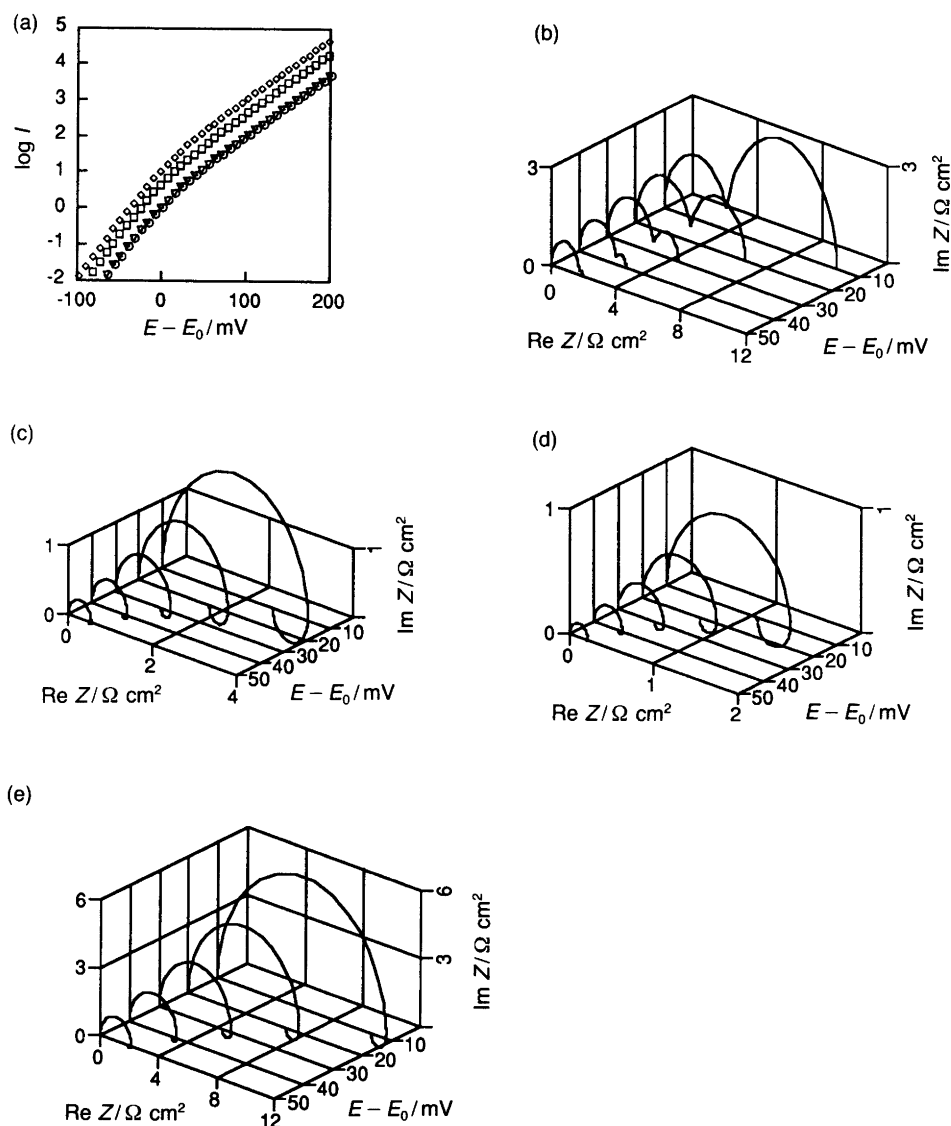
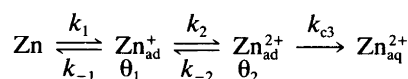


Fig. 2. Simulated polarization curves (a) and impedance spectra [(b)–(e)] for the catalytic EE-mechanism. (Impedance spectra simulated at 10, 20, 30, 40 and 50 mV.) (a) \diamond , First step r.d.s.; ∇ , second step r.d.s.; \square , second step slightly faster than the first; \odot , all rate constants equal at E° . (b) Second step r.d.s. at E° . (c) Second step slightly faster than the first at E° . (d) First step r.d.s. at E° . (e) All rate constants equal at E° .

EEC-mechanism:



This reaction sequence has been suggested by Cachet and Wiart^{14,15} as an alternative and parallel dissolution path to the catalytic mechanism. The polarization behaviour is shown in Fig. 3(a). It can be seen that if the chemical step is fast compared to the electrochemical steps this mechanism shows the same steady-state behaviour as the EE-mechanism (40 and 120 mV Tafel slopes). If, on the other hand, the chemical step is the rate-determining step, the steady-state curve shows a 30 mV Tafel slope that ends in a potential-independent region at anodic potentials. The impedance behaviour is shown in Figs. 3(b)–(e).

This mechanism shows an impedance behaviour that differs in one respect from the other mechanisms: the polarization resistance measured as the difference between the impedance at zero and infinite frequency becomes negative under certain conditions. This behaviour is often seen when metals are passivated by an oxide film.¹⁷ The polarization curves of these metals usually show negative slopes, which explains the negative polarization resistance. Thus, the result of the impedance simulation of this mechanism is somewhat unexpected, since the current slope, although almost independent of the potential, is still positive. A possible explanation of this behaviour might be that there is interference between the two relaxations of the intermediates. For example, it is noted for this mechanism that the sign of the steady-state value of $\Delta\theta_{\text{Zn}_{\text{ad}}^+}/\Delta E$ (the real part of the complex quantity) is the same as the sign of the polarization resistance. We have for comparison simulated the

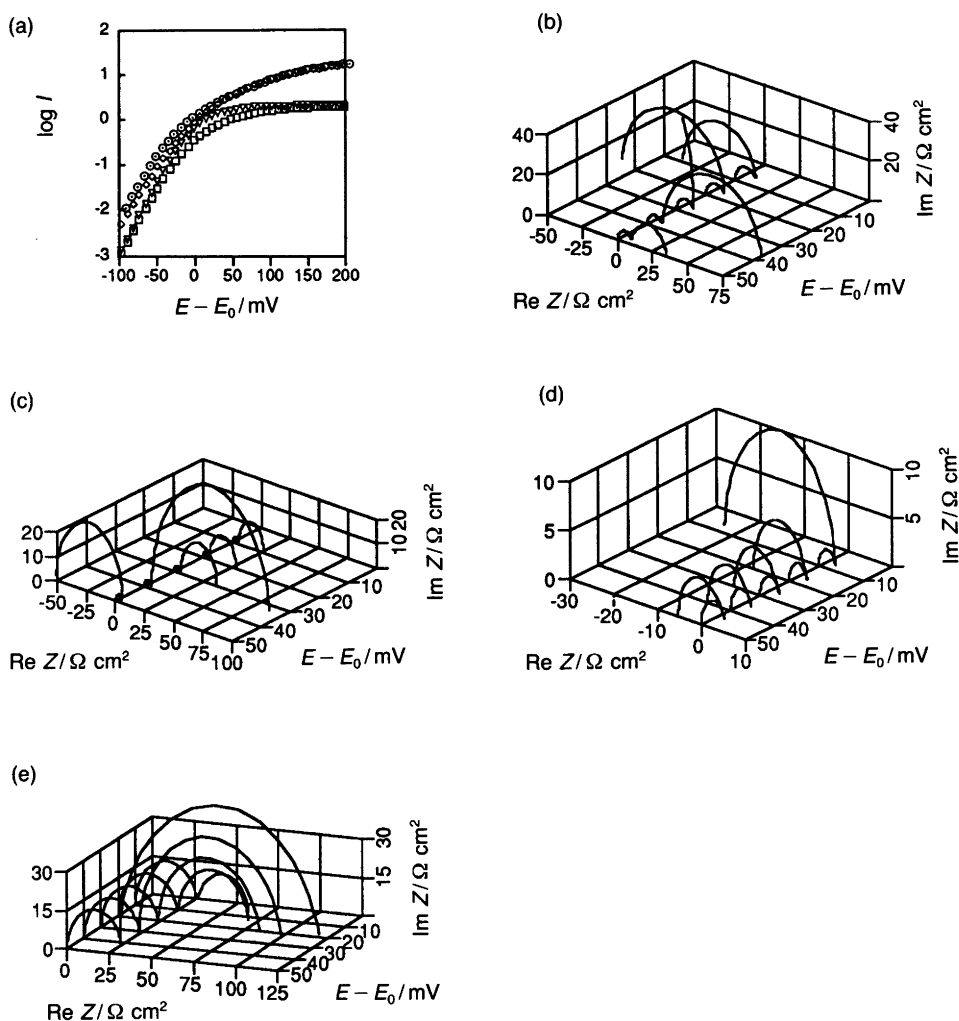


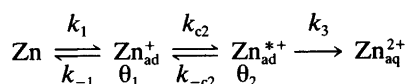
Fig. 3. Simulated polarization curves (a) and impedance spectra [(b)–(e)] for the EEC-mechanism. (Impedance spectra simulated at 10, 20, 30, 40 and 50 mV.) (a) \odot , First step r.d.s.; \diamond , second step r.d.s.; ∇ , third step r.d.s.; \square , all rate constants equal at E° . (b) First step r.d.s. at E° . (c) Second step r.d.s. at E° . (d) Third step r.d.s. at E° . (e) All rate constants equal at E° .

similar EC-mechanism under the same conditions, where only one intermediate is present. The steady-state value of $\Delta\theta/\Delta E$ is always positive in this case. The result is that the polarization resistance goes towards infinity when the chemical step is slow, but never turns negative.

The relaxation times of the intermediates in this mechanism were in no cases separated well enough to resolve them into two semicircles. Fig. 3(b) shows the spectra when the first step is rate-determining. At low overpotentials the polarization resistance is negative. As the potential is increased the loop turns over into the first quadrant of the impedance plane. In Fig. 3(c) the second step is made slow at $E = E^\circ$. As the potential is increased, the diameter of the second loop is increased. At a certain potential it turns over in the second quadrant of the impedance plane. This is due to the increased influence of the chemical step on the rate of the reaction as the potential is made more anodic. In Fig. 3(d) the effect of the slow chemical step is even more pronounced, since the electrochemical steps are in equilibrium at $E = E^\circ$. If all rate constants are equal at $E = E^\circ$ [Fig. 3(e)], the diameter of the second loop in-

creases while the charge-transfer resistance remains constant. This behaviour is observed whenever the chemical step is rate-determining.

ECE-mechanism:



If the first intermediate undergoes some chemical reaction or change before desorption into the solution this mechanism can be formulated. The polarization curves of this mechanism are shown in Fig. 4(a). If the chemical step is in equilibrium with the electrochemical steps no changes in the steady-state behaviour will be observed compared to the EE-mechanism. If the chemical step is slow the current will be independent of potential at anodic potentials.

The impedance behaviour is shown in Figs. 4(b)–(e). Fig. 4(b) shows the impedance spectra when the first step is rate-determining. Only two capacitive loops are observed,

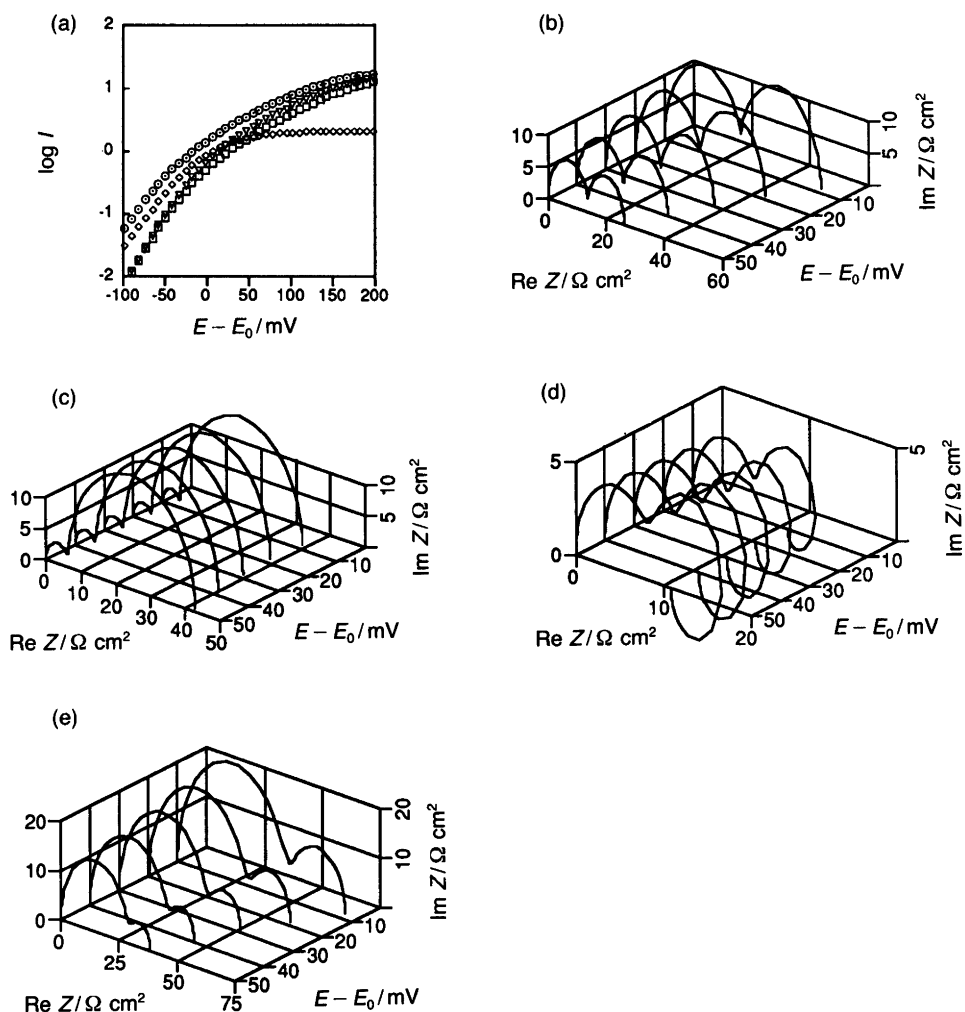
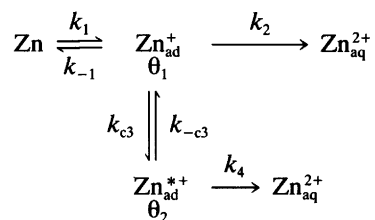


Fig. 4. Simulated polarization curves (a) and impedance spectra [(b)–(e)] for the ECE-mechanism. (Impedance spectra simulated at 10, 20, 30, 40 and 50 mV.) (a) \odot , First step r.d.s.; \diamond , second step r.d.s.; ∇ , third step r.d.s.; (b) First step r.d.s. at E° . (c) Second step r.d.s. at E° . (d) Third step r.d.s. at E° . (e) Second step in equilibrium at E° .

since the relaxation times of the intermediates are of the same order. As the potential is increased the diameter is decreased. If the chemical step is slow [Fig. 4(c)], two capacitive loops are also observed. The second loop in the low-frequency part of the spectra is, however, increased upon anodic polarization, while the charge-transfer loop remains fairly independent of the potential. Fig. 4(d) shows the spectra when the second electron transfer is rate-determining. One of the relaxations of the intermediates becomes inductive in this case and three separate loops are resolved. We noted that this inductive loop showed up whenever $\partial I / \partial \theta_{Zn^+} = [F(K_3 - K_1)]$ for this mechanism was negative. Fig. 4(e) shows the spectra when the chemical step is in equilibrium. The fast chemical step makes the relaxation times of the adsorbed intermediates comparable with the relaxation of the charge-transfer process. It is therefore notable that two loops appear in the spectra. This is discussed in the next section. Negative polarization resistance was never observed in the simulation of this mechanism.

Parallel E(E/CE)-mechanism:



This mechanism consists of two dissolution routes. One route is the same as the EE-mechanism and the other is the same as the ECE-mechanism. Both mechanisms have been discussed earlier, separately. The first electron transfer and the Zn_{ad}^+ species are common for both paths. Fig. 5(a) shows the polarization curves for the mechanism when different steps are rate-determining. They are all rather similar, with 40/120 mV Tafel slopes. When the second step in the EE-path is rate-determining at E° there is a limited potential region where the chemical step becomes impor-

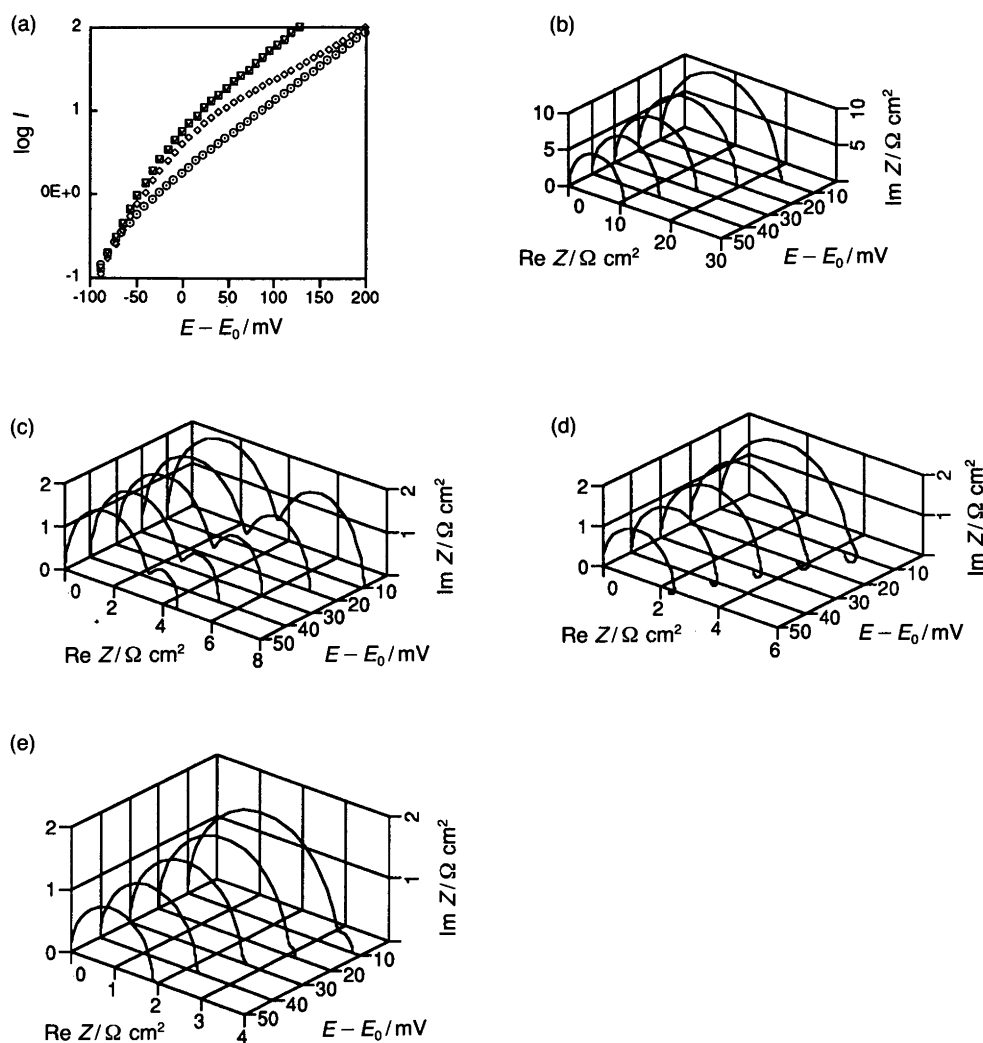


Fig. 5. Simulated polarization curves (a) and impedance spectra [(b)–(e)] for the E(E/CE)-mechanism. (Impedance spectra simulated at 10, 20, 30, 40 and 50 mV.) (a) \odot , First step r.d.s.; \diamond , second step r.d.s. (K_2 -step); ∇ , fourth step r.d.s. (K_4 -step); \square , chemical step r.d.s. at E° . (b) First step r.d.s. at E° . (c) Second step r.d.s. (K_2 -step) at E° . (d) Fourth step (K_4 -step) r.d.s. at E° . (e) Chemical step r.d.s. at E° .

tant for the reaction rate. Figs. 5(b)–(e) show the simulated impedance spectra. In Fig. 5(b) the first step is rate-determining and a small capacitive loop is seen in the low-frequency part of the spectrum. Both the charge-transfer loop and the capacitive loop are reduced upon anodic polarization. If the second step in the EE-path is rate-determining [Fig. 5(c)], there is also just one capacitive relaxation observed in addition to the charge-transfer loop. The charge-transfer loop is, however, not so sensitive to polarization, indicating the influence of the chemical step observed in the polarization curve. In Fig. 5(d) the electron transfer following the chemical step is rate-determining. Two relaxations can now be seen in the low-frequency part of the spectrum. One of them is capacitive, while the other is inductive. If the chemical step is slow, the important dissolution route is the same as in the EE-mechanism, and the spectra are similar to the spectra of that mechanism under the same conditions.

Discussion

The analysis of impedance spectra may be performed in at least two ways. The spectrum can be fitted by a least-squares method to some of eqns. (21)–(23), which will give the corresponding parameters A, B, D, T... This is a non-linear problem which requires sophisticated mathematical tools* and will not be treated here. The second and more common approach is to use electrical equivalent circuits. If the relaxations of the experimental spectra are well separated it is usually no problem to estimate the values of the different components for an arbitrary circuit. In order to obtain information about the kinetics for the electrochemical reaction the electric quantities associated with the circuit must be related to the parameters in the corresponding admittance equation. This can be done by identifying the impedance expression of the circuit with the

* Non-linear least-squares fitting has been used in IS to evaluate the components of the proposed equivalent circuits.^{1,18}

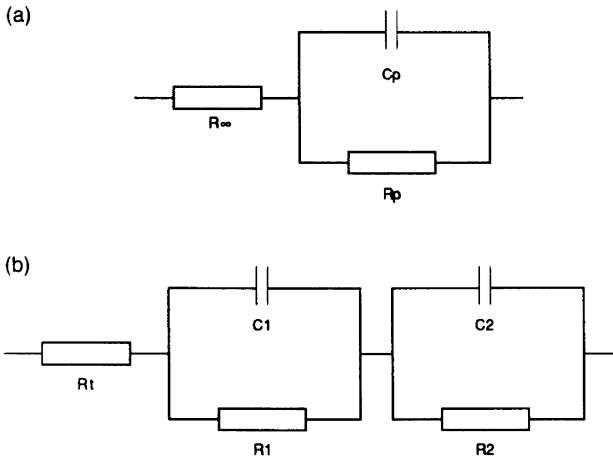


Fig. 6. (a) Equivalent circuit originally suggested by Gerischer to describe a charge-transfer process with one adsorbed intermediate. (b) Equivalent circuit for a charge-transfer process with two adsorbed intermediates.

corresponding analytical solution of the model in the impedance domain. The choice of the circuit is not crucial as long as its impedance can be expressed in the same form as one of the analytical solutions. The equivalent circuit used with this approach should therefore not be regarded as a model of the electrochemical system but merely as a mathematical tool to obtain information about parameters describing the system.

An example of this information transfer is the evaluation of the time constants associated with the adsorbed intermediates from the experimental spectrum. Armstrong has discussed this problem for reactions with one adsorbed intermediate.¹⁹ The faradaic admittance can be written as eqn. (26), where R_∞ is the infinite-frequency charge-

$$Y_f = \frac{1}{R_\infty} + \frac{1}{R_0} \frac{1}{1 + i\omega\tau} \quad (26)$$

transfer resistance and R_0 an additional resistance at zero frequency. This expression is of the same form as the analytical solution for the faradaic admittance presented earlier in this paper [eqn. (21), $R_0 = T/B$ and $\tau = 1/T$]. If the equivalent circuit suggested in early works of Gerischer^{20,21} [Fig. 6(a)] is used, the time constant may be obtained from eqn. (27), where ω^* is the frequency

$$\tau = \frac{1}{\omega^*} \frac{R_0 + R_\infty}{R_0} \quad (27)$$

obtained at the maximum of the second semicircle. R_0 and R_∞ are related to the parallel resistance R_p and capacitance C_p in the circuit in Fig. 6(a) by eqn. (28).

$$R_p = \frac{-R_\infty^2}{R_0 + R_\infty} \quad C_p = \frac{-R_0\tau}{R_\infty^2} \quad (28)$$

The situation becomes more complex when this treatment is generalized to include additional relaxations. The analytical solutions of the faradaic admittance for two or three relaxations [eqns. (22) and (23)] show that the number of unknown parameters J_{ij} increases by a power of two to the number of relaxations. Thus, it is not possible without any assumptions to obtain information concerning the individual time constants associated with the adsorbed intermediates. However, since the parameter T is the sum of the inverse of time constants involved in the faradaic process, it is possible to estimate the slowest time constant if it is well separated from the other constants. Suppose we want to estimate the relaxation times for a chemical reaction with two intermediates from a spectrum. The impedance of the circuit in Fig. 6(b) can be written as eqn. (29),

$$Z_c = R_t + \frac{[(R_1 + R_2)]/\tau_1\tau_2 + i\omega[(R_1\tau_2 + R_2\tau_1)/\tau_1\tau_2]}{1/\tau_1\tau_2 - \omega^2 + i\omega[(\tau_1 + \tau_2)/\tau_1\tau_2]} \quad (29)$$

where τ_1 and τ_2 are R_1C_1 and R_2C_2 , respectively. If these values can be estimated from the experimental spectrum we can transform eqn. (22) into the impedance plane, eqn. (30). Eqns. (31)–(34) can then be set.

$$Z_t = R_t + \frac{-R_t^2A - i\omega\beta R_t^2B}{D + R_tA - \omega^2\beta^2 + i\omega\beta(T + R_tB)} \quad (30)$$

$$A = -\frac{1}{R_t^2C_1C_2} \left(\frac{1}{R_1} + \frac{1}{R_2} \right) \quad (31)$$

$$B = -\frac{1}{R_t^2} \left(\frac{1}{C_1} + \frac{1}{C_2} \right) \quad (32)$$

$$D = \frac{1}{\tau_1\tau_2} - R_tA \quad (33)$$

$$T = \left(\frac{1}{\tau_1} + \frac{1}{\tau_2} \right) - R_tB \quad (34)$$

These expressions may now be identified with the corresponding parameters derived from the particular mechanism of interest. If we look at the relaxation times of the adsorbed intermediates we note that these are related to T by eqn. (35), where $\tau_{\text{ads}1} = -1/J_{11}$ and $\tau_{\text{ads}2} = -1/J_{22}$. If

$$T = 1/\tau_{\text{ads}1} + 1/\tau_{\text{ads}2} \quad (35)$$

$\tau_{\text{ads}1} \gg \tau_{\text{ads}2}$ then $T \approx 1/\tau_{\text{ads}2}$, where $\tau_{\text{ads}1}$ and $\tau_{\text{ads}2}$ are the time constants associated with the adsorbed intermediates. The time constant $\tau_{\text{ads}2}$ is then from eqn. (34), given by eqn. (36).

$$\tau_{\text{ads}2} = \frac{1}{\left(\frac{1}{\tau_1} + \frac{1}{\tau_2} \right) - R_tB} \quad (36)$$

The weak point here is of course the justification of the assumption that the time constants $\tau_{\text{ads}1}$ and $\tau_{\text{ads}2}$ are of different magnitude. We have in fact no information about these time constants and their relative magnitudes. Nevertheless, we think the derivation is motivated, because it shows that the relationship between the observed experimental time constants and the time constants of the adsorbed intermediates, as they are defined from the derivation of the impedance, is not straightforward. For example, the following fact was noticed when the ECE-mechanism was simulated with the chemical step in equilibrium. The time constants of the two intermediates, derived from the calculation of the impedance, were approximately the same as the time constant of the charge-transfer process coupled with the double-layer capacitance. Consequently, we expected one loop in the impedance plane diagram. Two capacitive loops were, however, observed. Analysis of the spectra in terms of the equivalent circuit shown in Fig. 6(b) gave the following values of the components involved: $R_1 = 0.33 \Omega$, $\tau_1 = 4.95 \times 10^{-3} \text{ s}$ ($\Rightarrow C_1 = 15 \text{ mF}$), $R_2 = 22.2 \Omega$, $\tau_2 = 2.99 \times 10^{-2} \text{ s}$ ($\Rightarrow C_2 = 1.35 \text{ mF}$) and $R_t = 47.1 \Omega$, $\tau_t = 7.06 \times 10^{-3} \text{ s}$. The time constants τ_1 and τ_2 should be compared with the time constants $\tau_{\text{ads}1}$ and $\tau_{\text{ads}2}$ associated with the intermediates. These were calculated from the derivation of the impedance and are $\tau_{\text{ads}1} = \tau_{\text{ads}2} = 9.80 \times 10^{-3} \text{ s}$.

The use of the charge-transfer resistance or polarization resistance in corrosion rate measurements with IS was discussed in the literature some years ago.^{22,23} The French school, with Keddam *et al.*, argued for the use of R_t in order to obtain the true corrosion rate, while Lorenz *et al.* stated that R_p should be used instead. The problem was, as far as we can see, an empirical one, since other methods such as weight loss determinations and solution analysis did not agree with the impedance data. Although the main purpose of this paper is not the determination of corrosion rates, we became interested in how R_t and R_p were related to the steady-state current in order to investigate the possibility of estimating Tafel slopes from these quantities. In principal this should not be a problem.

The inverse of the charge-transfer resistance at steady state, $1/R_t$, is defined by eqn. (37), where all other state

$$\frac{1}{R_t} = \frac{dI_{\text{ss}}}{dE} \quad (37)$$

variables depending on the potential, E , are kept fixed. The inverse of the polarization resistance, $1/R_p$, is defined by eqn. (38), where i is the number of state variables,

$$\frac{1}{R_p} = \frac{1}{R_t} + \sum_i \frac{\partial I_{\text{ss}}}{\partial X_{\text{iss}}} \frac{\partial X_{\text{iss}}}{\partial E} \quad (38)$$

X , that depend on the potential. With these definitions a simple and inverse relationship between R_p and I_{ss} for all potentials can be derived. The Tafel slope could then be

estimated by $\ln(10)R_p I$ or the variation of $-\log R_p$ with the potential. The R_t value can only be used if the potential is such that no reaction step in the mechanism is in equilibrium with its adjacent step or steps. We have, for simple and pure electrochemical mechanisms such as the consecutive EE-mechanisms (1) and (2), which are often used in metal dissolution reactions, investigated the potential dependence of R_p and R_t , and the statements above hold as long as the mechanisms consist of electrochemical steps. If potential-independent steps are introduced into the mechanism the simple relationship between R_p and I_{ss} disappears and the Tafel slope has to be estimated through R_t , which in turn can only be used at potentials far from equilibrium.

Conclusions

- (1) A combination of polarization and impedance measurements constitutes a powerful tool in mechanistic analysis.
- (2) With a mechanism involving two or more intermediates it is not possible to obtain an unambiguous relationship between the experimental time constants and the time constants obtained from the model.
- (3) There is a simple and inverse relationship between R_p and the steady-state current if the mechanism consists of electrochemical steps only. This simple relationship is lost when chemical steps are introduced into the mechanism, which in turn makes it impossible to use the value of $\ln(10)R_p I_{\text{ss}}$ as a true Tafel value.

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Appendix I

List of symbols used.

α	transfer coefficient
b	$\alpha z F / RT$
β_i	maximum surface concentration of species i
C_{dl}	double layer capacitance
E	potential
E°	equilibrium potential
E_{ss}	steady-state potential
F	Faraday constant
φ	phase angle
f	frequency
i	index of adsorbed species
I	current density
I_t	faradaic current density
I_{ss}	steady-state current density
i	complex number, $\sqrt{-1}$
K_n°	normalized rate constant at equilibrium potential
k_n°	rate constant at equilibrium potential
K_{cr}	normalized chemical rate constant

$$\theta_{1,ss} = \frac{K_1}{K_1 + K_{-1} + K_{c4} \left(1 + \frac{K_1 - K_{-c4}}{K_{-c4} + K_3} \right)} \quad (\text{A4})$$

$$\theta_{2,ss} = \frac{K_{c4}\theta_1}{K_{-c4} + K_3} \quad (\text{A5})$$

steady-state value into eqn. (A1) yields the steady-state current, which can be written as eqn. (A6). This equation

$$I_{ss} = F[(2K_2 + K_{c4})\theta_1 + (K_3 - K_{-c4})\theta_2] \quad (\text{A6})$$

is used for the simulation of the steady-state current. The first-order Taylor expansion of eqn. (A1) divided by ΔE gives the inverse of the faradaic impedance, eqn. (A7),

$$\frac{1}{Z_f} = \left(\frac{\partial I}{\partial E} \right)_{\theta_i} + \sum_{i=1}^2 \left(\frac{\partial I_f}{\partial \theta_i} \right)_E \frac{\Delta \theta_i}{\Delta E} \quad (\text{A7})$$

where the first term is the inverse of the charge-transfer resistance. If the transfer coefficients are the same for all steps, the charge-transfer resistance can be written as eqn. (A8). $(\partial I_f / \partial \theta_i)_E$ are easily obtained from eqn. (A1) as eqns. (A9) and (A10). The last factor in eqn. (A7),

$$\frac{1}{R_t} = Fb[K_1(1-\theta_1-\theta_2) + 4K_2\theta_1 + K_{-1}\theta_1 + K_3\theta_2] \quad (\text{A8})$$

$$\frac{\partial I_f}{\partial \theta_1} = F(2K_2 - K_1 - K_{-1}) \quad (\text{A9})$$

$$\frac{\partial I_f}{\partial \theta_2} = F(K_3 - K_1) \quad (\text{A10})$$

$\Delta \theta / \Delta E$, is obtained from eqns. (A2) and (A3) utilizing the result that, for a sine-wave variation of θ_i , eqn. (A11) holds.

$$\Delta \frac{d\theta_i}{dt} = \frac{d}{dt} \Delta \theta_i = i\omega \Delta \theta_i \quad (\text{A11})$$

Thus the set of linear equations (A12) and (A13) can be

$$(A_1 + i\omega\beta_1) \frac{\Delta \theta_1}{\Delta E} + B_1 \frac{\Delta \theta_2}{\Delta E} = C_1 \quad (\text{A12})$$

$$A_2 \frac{\Delta \theta_1}{\Delta E} + (B_2 + i\omega\beta_2) \frac{\Delta \theta_2}{\Delta E} = C_2 \quad (\text{A13})$$

set up, in which the coefficients are given by eqns. (A14)–(A19).

$$A_1 = K_1 + K_{-1} + K_{c4} \quad (\text{A14})$$

$$B_1 = K_1 - K_{-c4} \quad (\text{A15})$$

$$C_1 = b[K_1 - (K_1 - K_{-1})\theta_1 - K_1\theta_2] \quad (\text{A16})$$

$$A_2 = -K_{c4} \quad (\text{A17})$$

$$B_2 = K_3 + K_{-c4} \quad (\text{A18})$$

$$C_2 = -bK_3\theta_2 \quad (\text{A19})$$

The time constants associated with the relaxation of the adsorbed intermediates are obtained from these equations by the relationships $\tau_1 = \beta_1/A_1$ and $\tau_2 = \beta_2/B_2$.

Solving the set of equations with respect to $\Delta \theta / \Delta E$ and substituting the result into eqn. (A7) yields the faradaic admittance, $1/Z_f$, which after addition of the double-layer capacitance, C_{dl} , in parallel to Z_f gives the interfacial impedance, Z_1 , via eqn. (A20) or (A21).

$$\frac{1}{Z_1} = \frac{1}{Z_f} + i\omega C_{dl} \quad (\text{A20})$$

$$Z_1 = \frac{Z_f}{1 + i\omega Z_f C_{dl}} \quad (\text{A21})$$

In order to obtain $\Delta \theta / \Delta E$, using the analytical solutions presented earlier, it is preferable to use a computer algorithm which can handle determinants. We have used the adjoint method for the solution of the linear equation system. The parameters D , J and L are determinants and subdeterminants of the coefficient matrix given by the linear equation system. When the first set of parameters are calculated these can be substituted into the next set, giving after the addition of the double-layer capacitance, the total electrode admittance. The impedance is obtained by inverting the admittance expression and separating the result into real and imaginary parts. The electrode impedance can now be calculated as a function of the frequency for a given set of rate constants and at a certain potential. These calculations are easily done on a personal computer, and by using the analytical solutions given previously the calculations can be performed much faster in comparison with the use of numerical solutions.

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