

Solvent Effects in ^1H NMR Spectra of Pyrazoles

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The ^1H NMR spectra of nine 4-substituted 1,3,5-trimethylpyrazoles have been recorded in $\text{CCl}_4/\text{C}_6\text{H}_6$ solutions with varying mol fractions of benzene. The substituent influence on the chemical shifts and the aromatic solvent induced shifts are discussed, and the data for the 1- CH_3 hydrogens are successfully correlated with Hammett's σ -values.

A change in solvent from a non-aromatic one (such as cyclohexane, deuteriochloroform or carbon tetrachloride) to an aromatic one, generally causes solvent induced shifts. These aromatic solvent induced shifts, ASIS, defined by $\Delta = \delta_{\text{non-aromatic}} - \delta_{\text{aromatic}}$, measure the difference between the effect of the solvent on a given proton group and the protons of the internal references. These ASIS values have proven very helpful in determining the position of a given proton relative to polar sites (*cf.* reviews by Ronayne and Williams¹ and by Laszlo²). Elguero and coworkers³⁻⁵ discovered that for 1-methyl substituted pyrazole derivatives, the chemical shifts are very sensitive to solvent effects, and that ring protons and methyl group protons in positions 1 and 5 are much more sensitive to solvent change (CCl_4 or CDCl_3 to C_6H_6) than ring protons and methyl group protons in positions 3 and 4. The following average solvent shifts were calculated

$[\delta(\text{CDCl}_3) - \delta(\text{C}_6\text{H}_6)]$: 1- CH_3 , 0.51 ppm; 3- CH_3 , -0.02 ppm; 5- CH_3 , 0.52 ppm. Some of the pyrazole solvent shifts of Elguero and coworkers³⁻⁵ relevant to the present work are found in Table 1. In investigations of solvent shifts for pyrroles, indoles, and some of their methyl derivatives, Ronayne and Williams^{6,7} discovered aromatic solvent induced shifts characteristic in magnitude and sign and thus useful in structure elucidation. In these π -

Table 1. ASIS $[\delta(\text{CDCl}_3) - \delta(\text{C}_6\text{H}_6)]$ or $[\delta(\text{CCl}_4) - \delta(\text{C}_6\text{H}_6)]$, in ppm) for some pyrazole derivatives (1- CH_3 -3- R_3 -4- R_4 -5- R_5 -pyrazole).³⁻⁵

Compound			ASIS			
R_3	R_4	R_5	1- CH_3	R_3	R_4	R_5
H	H	H		-0.24	0.06	0.48 ^a
H	H	H		-0.04	0.19	0.59 ^b
CH_3	H	H	0.60	-0.01	0.11	0.50 ^b
CH_3	Br	H	0.73	0.00		^b
CH_3	Cl	H	0.78	-0.07		^b
H	CH_3	H	0.50	-0.10	0.14	0.56 ^b
H	H	CH_3	0.55		0.13	0.55 ^b
H	Cl	CH_3	0.78			0.68 ^b
CH_3	H	CH_3	0.49	-0.07	0.05	0.49 ^b
H	Br	CH_3	0.78			0.68 ^b

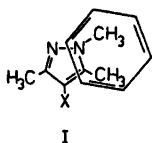
^a Inert solvent: CCl_4 . ^b Inert solvent: CDCl_3 .

excessive heteroaromatics, the benzene molecules are assumed to solvate the positive end of the pyrrole and indole dipoles, giving shifts diagnostic for 2- versus 3-substitution. The 3-H and 3- CH_3 resonances have ASIS between -0.54 and 0.05 ppm, whereas the 2-H and 2- CH_3 resonances have ASIS values between 0.03 and 0.45 ppm. The N- CH_3 resonances have values of ASIS between 0.65 and 0.80 ppm.

The discussion of the interaction between the polar solute and the aromatic solvent and the solvent shifts is based on the large magnetic anisotropy of the benzene ring. Ledaal⁸ suggests that the dipole axis of the solute is located along the six-fold symmetry axis of the benzene ring. Ronayne and Williams⁶ propose a model in which the benzene solvent molecules solvate each electron-deficient site of the solute, with the benzene molecule as far as possible away from the negative end of the local dipole with

its orientation determined by local dipole-induced dipole interactions. The interactions may occur in transient 1:1 collision complexes. Engler and Laszlo⁹ have stressed the inadequacy of a fixed 1:1 complex as a model for these weak interactions. They introduce a general solvation model and express solvent shifts as products of a site factor for the proton groups of the solute and a solvent parameter.

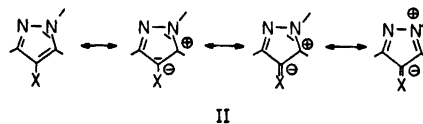
With the simple 1:1 complex model, the interaction between the benzene solvent molecule and the pyrazole solute molecule is described by I, in analogy with the complex between pyrroles and indoles and aromatic solvents.^{6,7} The dipole moment of the pyrazole ring is directed from N₁ towards N₂ and nearly parallel with the N-N bond.¹⁰ It is then clear that ring protons and methyl group protons in positions 1 and 5 are in the strongly shielding region of the benzene ring. The benzene molecule



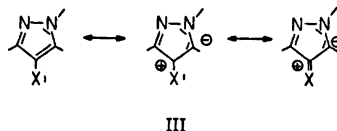
in I may be regarded as a symbol for the time-averaged solvent cloud around the pyrazole molecule.

Even though several ¹H NMR studies of pyrazoles have appeared in the literature (see, e.g., Refs. 3-5, 11-23), the spectrum of 1,3,4,5-tetramethylpyrazoles seems to be the only one determined for a 1,3,5-trimethyl-4-X-substituted pyrazole in CCl₄ solution. With 1,5- and 1,3-dimethylpyrazole as model compounds, Habraken *et al.*²¹ assigned the signal at δ 2.04 to the 3-CH₃ protons and the signal at δ 1.98 to the 5-CH₃ protons, *i.e.* the 5-CH₃ protons absorb at higher field than the 3-CH₃ protons. For some 1-CH₃-4-X-substituted pyrazoles they discovered that in CDCl₃ solution, the 1-CH₃ protons are shifted downfield by electron-attracting substituents in position four, and similar displacements are found for the 3- and 5-proton peaks.

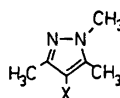
There is a strong resonance interaction between the 1-nitrogen atom in the pyrazole ring and -M substituents in position 4 (see II). This has been demonstrated in the slow hydrolysis of pyrazole-4-carboxylic esters,²⁴ in the



low frequency of the C=O stretching vibration of 4-acylpyrazoles,²⁵ and in the chemical shifts of the ring carbon atoms in ¹³C investigations (Refs. 26-28).



To study the influence of -M (*cf.* II) and +M (*cf.* III) substituents on the aromatic solvent induced shifts, a number of 4-substituted 1,3,5-trimethylpyrazoles were synthesized and investigated (IVa-i).



IV

- | | |
|------------------------|--|
| a. X = NH ₂ | f. X = I |
| b. X = CH ₃ | g. X = CO ₂ C ₂ H ₅ |
| c. X = H | h. X = NO ₂ |
| d. X = Cl | i. X = NO |
| e. X = Br | |

PREPARATIONS AND ¹H NMR SPECTRA

The compounds were synthesized by reported procedures: IVa,²⁹ IVb,³⁰ IVc,³⁰ IVd,³¹ IVe,³² IVf,³⁰ IVg,³³ IVh,³⁰ IVi.³⁴ The pyrazoles were distilled or recrystallized immediately before use.

The spectra were recorded on a Varian A-60 spectrometer in solutions (1%, w/v) with increasing mol fraction of benzene: 0.000, 0.071, 0.287, 0.426, 0.673, 0.789, 0.928, 1.000. The spectra were recorded at normal probe temperature, *ca.* 310 K, using TMS as an internal standard. The chemical shifts were determined with the aid of sidebands generated by a Hewlett-Packard Model 200 oscillator and measured by a HP Model 3734 A frequency counter with scale expansion. The accuracy in the chemical shifts is presumed to be *ca.* 0.3 Hz. CCl₄ was chosen as the inert solvent for solubility reasons.

DISCUSSION

Signal assignments. The chemical shifts and solvent shift values for the 4-substituted 1,3,5-trimethylpyrazoles investigated are summarized

Table 2. Chemical shifts (δ -values) and ASIS (in ppm) for some 4-substituted 1,3,5-trimethylpyrazoles (IV).^a

X	$\delta(1\text{-CH}_3)$	ASIS	$\delta(3\text{-CH}_3)$	ASIS	$\delta(5\text{-CH}_3)$	ASIS	$\delta(5\text{-CH}_3) - \delta(3\text{-CH}_3)$
NH ₂	3.567 ^a		2.010 ^a		2.073 ^a		0.063
CH ₃	3.217 ^b	0.350	2.152 ^b	-0.142	1.657 ^b	0.416	
	3.573		2.017		2.067		0.050
H	3.235	0.338	2.215	-0.198	1.638	0.429	
	3.565		2.083		2.163		0.080
Cl	3.158	0.407	2.283	-0.200	1.713	0.450	
	3.645		2.103		2.177		0.074
Br	3.055	0.590	2.238	-0.135	1.638	0.539	
	3.675		2.100		2.193		0.093
I	3.040	0.635	2.258	-0.158	1.625	0.568	
	3.685		2.115		2.240		0.125
CO ₂ CH ₂ CH ₃	3.042	0.643	2.267	-0.152	1.670	0.570	
	3.658		2.285		2.448		0.163
NO ₂	3.005	0.653	2.627	-0.342	2.107	0.341	
	3.717		2.408		2.573		0.165
NO	2.775	0.942	2.450	-0.042	1.812	0.761	
	3.731		2.252		2.783		0.531
	2.775	0.956	2.373	-0.121	2.055	0.728	

^a Refers to CCl₄ solution. ^b C₆H₆ solution.

in Table 2. The problem of assigning signals to protons 3 and 5 in the proton magnetic resonance spectrum of 1-methylpyrazole has been previously studied by means of coupling constants,¹² homo- and heteronuclear decoupling,⁵ and deuterated pyrazole derivatives.²² It has been found that in CDCl₃ and CCl₄ solution, H₅ in 1-methylpyrazole absorbs at higher field than H₃.

The signal assignments for the methyl hydrogens in positions 3 and 5 in the pyrazole series investigated in the present work were made by recording the spectra in CCl₄/C₆H₆ solutions with increasing benzene content. In accordance with the aromatic solvent shifts discussed above, the *C*-methyl resonance signal most affected by the solvent change is ascribed to the methyl hydrogens in position 5. The solvent dependence of the *C*-methyl hydrogens for three 1,3,5-trimethylpyrazoles is shown in Fig. 1. For all pyrazole derivatives the *C*-methyl resonance signal at lowest field (in CCl₄ solution) is most sensitive to solvent change, and is consequently ascribed to the methyl group in position 5. It is noticeable that in 1-methylpyrazole, H₅ absorbs at lower field than H₃. Habraken *et al.*²¹ made the reverse assignments (*cf.* above) for the 3-CH₃ and 5-CH₃ protons in the tetramethyl-

pyrazole. However, these assignments were based on the ^1H NMR spectra of 1,5- and 1,3-dimethylpyrazole, where the 3-CH₃ protons absorb at lower field than the 5-CH₃ protons (0.03 ppm).

A change in solvent from CCl₄ to CDCl₃ causes downfield shifts for the ^1H NMR signals of 1-methylpyrazole (for H₃ 0.20 ppm, for H₅ 0.11 ppm).²² These solvent shifts can be understood as a consequence of hydrogen bonding between CDCl₃ and the pyridine nitrogen atom in the pyrazole ring (Cl₃C-D \cdots N₂-N₁) resulting in decreased electron density more pronounced in position 3 than in position 5. This is reflected in the shift changes given above. With the signal assignments made with the aid of ASIS for 1,3,5-trimethylpyrazole, the same order in downfield shifts is obtained for methyl groups 3 and 5 (0.09 and 0.01 ppm for a solvent change CCl₄→CDCl₃). This is further proof for the signal assignments made above.

The substituent dependence of the chemical shifts. The influence of substituents on chemical shifts of ring protons has been studied in benzenes and heterocycles. Linear correlations have sometimes been attempted between proton chemical shifts and substituent constants based on reactivity data.²⁵

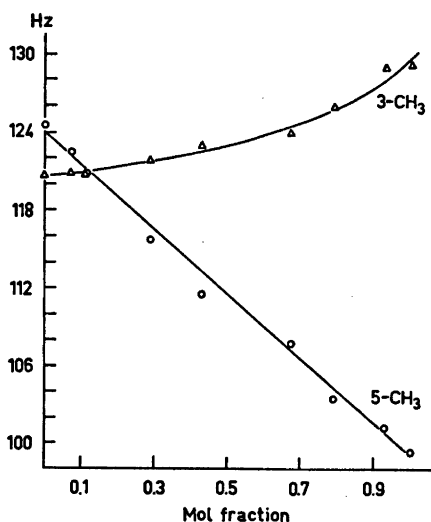


Fig. 1a. Chemical shifts (in Hz from TMS) for the 3-CH₃ and 5-CH₃ hydrogens in compound IVa versus mol fraction of benzene.

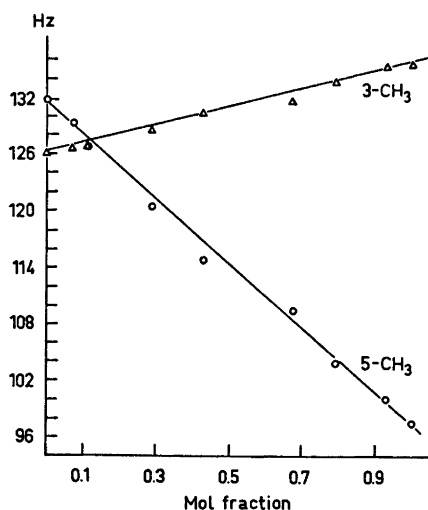


Fig. 1b. Chemical shifts (in Hz from TMS) for the 3-CH₃ and the 5-CH₃ hydrogens in compound IVe versus mol fraction of benzene.

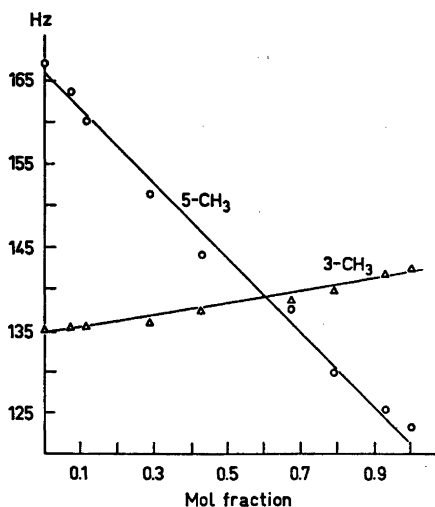


Fig. 1c. Chemical shifts (in Hz from TMS) for the 3-CH₃ and the 5-CH₃ hydrogens in compound IVh versus mol fraction of benzene.

In the system investigated here, the methyl groups are connected to the substituent *via* the conjugated pyrazole system. The influence of substituents on chemical shifts of such methyl protons has been investigated for 2-CH₃-5-R-substituted thiophenes,³⁶ methoxy protons in

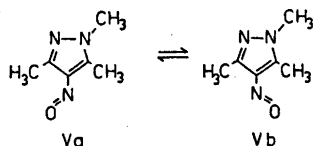
substituted anisoles,³⁷ and substituted toluenes.³⁸

Table 2 shows that a strong -M substituent in position 4 in the pyrazole ring causes a considerable downfield shift for the 1-CH₃ protons (IVc: $\delta(1\text{-CH}_3) = 3.565$ ppm; IVh: $\delta(1\text{-CH}_3) = 3.717$ ppm; CCl₄ solution). This can be understood as the result of the strong resonance interaction between positions 1 and 4 in the pyrazole ring (II). The inductive and magnetic anisotropy effects of the substituent on $\delta(1\text{-CH}_3)$ are assumed to be small. For stronger -M substituents in position 4 there is an increased contribution from the dipolar structures, resulting in reduced shielding (*via* inductive effects) and downfield shifts for the 1-CH₃ protons.

The chemical shifts of the 1-CH₃ protons are not susceptible to a +M substituent such as the amino group (IVc: $\delta(1\text{-CH}_3) = 3.565$ ppm; IVa: $\delta(1\text{-CH}_3) = 3.567$ ppm; CCl₄ solution). As is shown by III, a +M substituent, introduced in position 4, is conjugatively electron-donating to position 5 in the pyrazole ring, whereas only its inductive effect operates to positions 3 and 1. The change in electron density at the 1-CH₃ protons on introducing the amino group is consequently negligible and the chemical shift of the 1-CH₃ protons is not affected.

The difference in chemical shifts between methyl groups 5 and 3 (CCl₄ solution) is determined not only by resonance effects but also by field and anisotropy effects in a rather complex way. Table 2 shows that the shift difference increases with increasing -M capacity of the 4 substituents (0.08 ppm for IVc, 0.165 ppm for IVh). This is ascribed to a decrease in the π-electron density in position 5 (II) resulting in downfield shifts for 5-CH₃ protons. Position 3, on the other hand, is unaffected by this resonance effect. The inductive effect of the substituent is assumed to be the same in the two positions.

The large shift difference for the nitroso compound is ascribed to the anisotropy of the nitroso group. Positions along the N-O bond direction are deshielded and positions perpendicular to the bond are shielded.³⁹ For 1,3,5-trimethyl-4-nitrosopyrazole two planar conformations are possible (Va and Vb). It has been found that in CD₂Cl₂ solution at 240 K,



the conformer ratio Va/Vb is 4/1.⁴⁰ With an assumed dominance of conformer Va in CCl₄ solution at probe temperature, at which temperature the rotation of the nitroso group is fast on the NMR time scale, methyl group 5 is in the deshielded region and methyl group 3 in the shielded region. Thus the large shift difference is obtained.

The aromatic solvent induced shifts. The ASIS values are summarized in Table 2 and Fig. 4. Table 2 shows the ranges of the ASIS for the three methyl group protons: for 1-CH₃, 0.338 - 0.956 ppm, for 3-CH₃, -0.342 to -0.042 ppm and for 5-CH₃, 0.341 - 0.728 ppm. As discussed above, the signal assignments for the C-methyl protons were made by means of ASIS. For the 1-CH₃ and 5-CH₃ protons, the ASIS increases with increasing -M capacity of the substituent. This can be understood as the result of an increased order in the solvent cage around the partially positive 1-nitrogen atom and ring carbon atom 5 of the pyrazole ring (cf. II) as

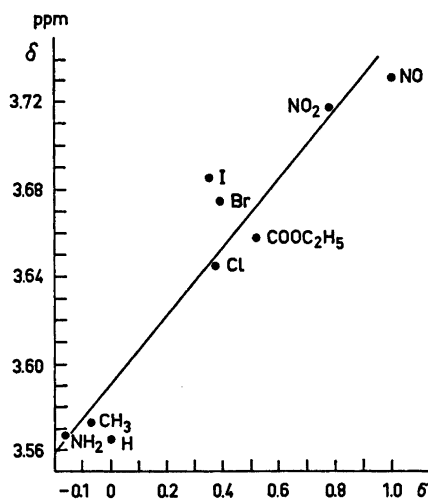


Fig. 2. Chemical shifts of the 1-CH₃ hydrogens (in ppm, from TMS, CCl₄ solution) versus Hammett's σ-values. The σ-values are taken from Jaffé.⁴⁰

the benzene molecules interact with positive centers and avoid the negative parts. ASIS of the 3-CH₃ protons depend on the substituent in a complicated way. For the nitroso compound, it is possible that the isomer ratio Va/Vb may change from CCl₄ to C₆H₆, and that this change affects the ASIS.

The solvent shifts obtained for different positions in pyrroles, indoles,^{6,7} and pyrazoles all emphasize the potential possibility of this technique for structure elucidation among azoles and diazoles.

Hammett correlations. In the pyrazole series, the Hammett equation has been used to relate reactivities in side-chains in positions 1 to σ_p values for the substituent in position 4. Good linear relations have been obtained between σ_p values and log k for the hydrolysis of 1-acylpyrazoles,⁴¹ the ethanolysis of 1,1'-thiocarbonylbispyrazoles,⁴² and the rotation of the dimethylamino group in 1-(N,N-dimethylthiocarbamoyl)pyrazoles.⁴³ Discussions of the Hammett equation applied to heterocyclic compounds have been given by Jaffé⁴⁴ and by Gronowitz.⁴⁵

A linear correlation is obtained for the trimethylpyrazoles between the chemical shifts (CCl₄ solution) of the 1-CH₃ protons and Hammett's σ-values, with a correlation coefficient of

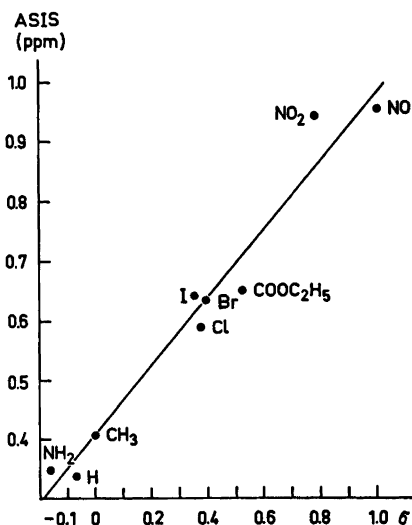


Fig. 3. Aromatic solvent induced shifts (ASIS) for the 1-CH₃ hydrogens versus Hammett's σ -values.

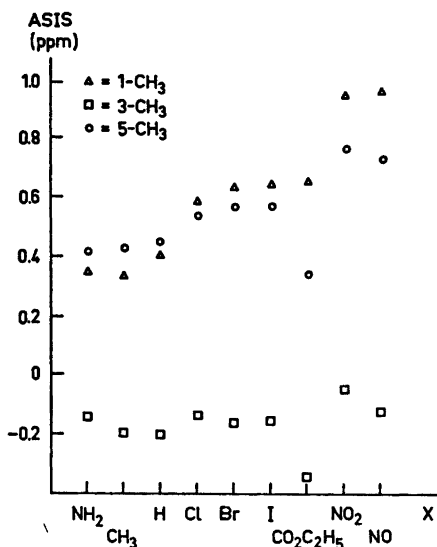


Fig. 4. Aromatic solvent induced shifts (ASIS) for the methyl groups in some 1,3,5-trimethyl-4-X-pyrazoles.

0.95 and a ρ -value of 0.159 (Fig. 2). A -M substituent in position 4 is regarded to be *para*-related to position 1, a +M substituent, on the other hand *meta*-related (cf. II and III). Habraken *et al.*⁴⁶ showed that the nitroso group

in 3,5-dimethyl-4-nitrosopyrazole is a more powerful electron attractor than the nitro group. Lüttke *et al.*⁴⁷ have reached the same conclusion in a study of nitroso- and nitrodimethylamine. For a *para* nitroso group, Habraken reports a σ_p^- -value of 1.46, computed from pK_a data of pyrazoles. In this work, a σ_p^- -value of 1.0 is used for the nitroso group (calculated by subtracting the difference $\sigma_p^- - \sigma_p$ for the nitro group from σ_p^- for the nitroso group).

A survey of about 100 Hammett correlations of ¹H NMR data, made by Yokoyama *et al.*,⁴⁸ shows that most shift correlations give unsatisfactory correlation coefficients, e.g. < 0.90 - 0.95. Furthermore, the ρ -values vary strongly between different series of compounds. From a study of 4-substituted 1-methylpyrazoles, a ρ -value of 0.48 can be calculated ($r=0.91$).²¹ This refers to CDCl₃ solution, however, and ρ -values are known to vary with the solvent.⁴⁹ For the methyl protons in RC⁶H⁴CH₂-H a ρ -value of 0.2 is given (CDCl₃ solution).³⁸ The methoxy methyl protons in *para*-substituted anisoles correlate with ρ_p parameters with a ρ -value of 0.19 (CCl₄ solution).³⁷ A positive ρ -value means that the downfield shift increases when σ increases. The correlation coefficients for the systems above are unsatisfactory and this make a comparison of the different ρ values uncertain.

An excellent linear correlation is obtained between the ASIS for the 1-CH₃ protons and Hammett's σ -values (Fig. 3) with a correlation coefficient of 0.98 and a ρ -value of 0.57. On the basis of ASIS measurements on the methyl group in *para*-substituted toluenes, a ρ -value of 0.41 can be calculated ($r=0.97$).³⁸ ASIS of pyrazole derivatives seem to be somewhat more substituent dependent than in the benzene series.

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