Semi-empirical Parameters in π -Electron Systems

XI. Calculations of the Electronic Structure of some Nitrogen Heterocycles Including π-Electrons and σ Lone Pairs

M. SUNDBOM

Institute of Theoretical Physics, Vanadisvägen 9, S-113 46 Stockholm, Sweden

A modified Pariser-Parr-Pople method has been extended to nitrogen heterocycles containing more than one sigma lone pair, n. Bond distances, n and π ionization potentials and electronic transitions of $\pi-\pi^*$ and $n-\pi^*$ types have been calculated. The calculated results are compared with experimental data and other theoretical results.

I. INTRODUCTION

In previous papers of the present series $^{1-10}$ a new scheme for the evaluation of semi-empirical parameters in the Pariser-Parr-Pople approximation has been evaluated. These parameters have been useful in the study of large organic molecules, for which all electron non-empirical calculations today are not possible. Together with the π -electrons the loosest bound σ -electrons, the lone pairs, n, are responsible for most of the chemical activity of organic molecules. The approach to extend the PPP method by taking lone pair electrons explicitly into account was introduced by Anno and co-workers. $^{11-13}$ In a recent work, Hohlneicher and Sänger 14 have also used this approach in a study of carbonyl and aza compounds.

Parameters for azines with one sigma lone pair have been presented in a previous paper.³ These parameters have been used in a study of the porphin and copper porphin molecules.^{15,16} The present investigation is an extension of this scheme to include lone pair (n) parameters in nitrogen containing molecules with more than one sigma lone pair.

The *n* parameters have been determined through a least squares fit to experimental data of pyridine, pyridazine, pyrimidine, and pyrazine. The finally adopted parameter values have then been applied in calculations on a set of molecules: *sym*-triazine, *sym*-tetrazine, phthalazine, cinnoline, quinazoline, quinoxaline, 1,5-naphthpyridine, phenazine, 9,10-diazaphenanthrene, imidazole, 9H-purine, and 7H-purine.

Although this method contains several approximations, described in detail in Section II, the results of the present investigation show a good overall agreement with available experimental results. The method can, for instance, be used for interpretation of photoelectron spectroscopy data and for prediction of $n-\pi^*$ transitions.

II. METHOD AND DETERMINATION OF PARAMETERS

The method was introduced in the first paper of this series. It is essentially a SCF-MO-LCAO-CI method in the Pariser-Parr-Pople approximation, formally implying zero-differential overlap (ZDO) and semi-empirical determination of some integrals.

The self-consistent field molecular orbitals have been evaluated by means of a computer program, SCF - OPSZDO, written by B. Roos and M. Sundbom. This program also calculates the energy levels of excited states by mixing all configurations obtained from single excitations. The computer IBM 360/75 at Stockholms Datacentral has been used for the present calculation.

1. π Systems without inclusion of sigma lone pairs. The scheme applied for the evaluation of the semi-empirical π parameters and notations and equations used for the evaluation of the various integrals have been presented in previous papers 1-10 and will not be repeated here.

2. π Systems with inclusion of sigma lone pairs, n. In the present investigation, n parameters for azines have been included in the scheme. Identified lone pair ionization potentials and singlet $n-\pi^*$ transitions have been used as experimental conditions. The previously determined π parameters have been considered as fixed.

The n parameters are not necessarily defined as matrix elements between atomic orbitals, but can equally well be defined by means of delocalized orbitals. In order to account for delocalization, three different resonance integrals: $\beta_{N(n)N'(n)}$ (ortho), $\beta_{N(n)N'(n)}$ (meta), and $\beta_{N(n)N'(n)}$ (para) have been introduced. For the determination of two-electron integrals, the following approximation was made. The n orbitals were considered as localized orbitals. In order to get an indication of the limitations of this approach, two different hybridizations were assumed: namely (I) an sp2 hybrid, and (II) a hybrid with 10 % s character.

The diagonal elements of the core operator, $\alpha_{\mu(\pi)}$ and $\alpha_{\mu(n)}$ are expressed as:

elements of the core operator,
$$\alpha_{\mu(\pi)}$$
 and $\alpha_{\mu(n)}$ are expressed as:
$$\alpha_{\mu(\pi)} = W_{\mu(\pi)} - 2\gamma_{\mu(\pi)\mu(n)} + K_{\mu(\pi)\mu(n)} - \sum_{\nu \neq \{n, n\}} n_{\nu} \gamma_{\mu(\pi)\nu}$$

$$v \neq \begin{cases} \mu(\pi) \\ \text{and} \\ \mu(n) \end{cases}$$

$$(1)$$

$$\alpha_{\mu(n)} = W_{\mu(n)} - \gamma_{\mu(n)\mu(n)} - \gamma_{\mu(n)\mu(n)} + \frac{1}{2}K_{\mu(\pi)\mu(n)} - \sum_{\substack{\mu(\pi) \\ \text{and} \\ \mu(n)}} n_{\nu}\gamma_{\mu(n)\nu}$$
(2)

The one-center two-electron integrals, $\gamma_{\mu(n)\mu(n)}$, $\gamma_{\mu(\pi)\mu(n)}$, and $K_{\mu(\pi)\mu(n)}$ (exchange integral), have been obtained from atomic spectral data.

In the calculation of $\gamma_{\mu(n)\nu(n)}$ and $\gamma_{\mu(n)\nu(n)}$, where μ and ν are nonneighbours, the orbital $\mu(n)$ has been replaced by a uniformly charged sphere with its origin in the centre of charge of the chosen localized hybrid orbital.

The parameters to be determined are:

 $W_{\mathbf{N}(n)}$ where the nitrogen atom has two carbon neighbours; is the correction due to the replacement of a carbon atom by a nitrogen atom;

 $\beta_{N_1(n)N_2(n)}$ (ortho), $\beta_{N_1(n)N_2(n)}$ (meta), and $\beta_{N_1(n)N_2(n)}$ (para); $\gamma_{N(n)C(n)}$ where N and C are neighbours; and

 $\begin{cases} \gamma_{N_1(n)N_2(n)} \\ \gamma_{N_1(n)N_2(n)} \end{cases}$ where N_1 and N_2 are neighbours.

In order to limit the number of parameters, no distance dependence has been introduced. Furthermore, the following approximation was made:

$$\gamma_{\mathbf{N}_{1}(\mathbf{n})\mathbf{N}_{2}(\mathbf{n})} = \gamma_{\mathbf{N}_{1}(\mathbf{n})\mathbf{N}_{2}(\pi)} = \gamma_{\mathbf{N}_{1}(\pi)\mathbf{N}_{2}(\pi)} \tag{3}$$

The remaining six parameters have been determined through a least squares fit to the following experimental data: the lone pair ionization potential of pyridine; the second lone pair ionization potential of pyrimidine, pyrazine and pyridazine. The lowest $n-\pi^*$ singlet transitions ¹⁸ of pyridine, pyrimidine, and pyridazine were also taken into account.

Turner's (1966) photoelectron-spectroscopy data ¹⁷ were used, as they give accurate IP values for a whole series of standard molecules. These data correspond to adiabatic IP values. In the future, when more high-resolution spectra are available, it will be easy to modify the present parameter sets, using experimental vertical IP values. Preliminary calculations on diazabenzenes showed that the lowest IP (n) and the second IP (π) were to be found in the same region around 10 eV. The observed IP value around 11 eV was assigned as the second IP (n), as previous calculations on the π -system gave no IP (π) -values in that region. These second IP (n) values for pyrimidine, pyrazine, and pyridazine were thus chosen for parameter determination.

To obtain an electrically allowed $n-\pi^*$ singlet transition of symmetry ${}^1B_{3u}$ in the region 30-34 kK for pyrazine, 19 it was necessary to choose a positive value of $\beta_{\mathrm{N}(n)\mathrm{N}'(n)}$ (para). As it may still be a question whether also an electronically forbidden singlet $n-\pi^*$ transition (${}^{1}B_{2g}$) is located in that region, 20,21 an explicit experimental value of the ${}^{1}B_{3u}$ transition for pyrazine was not used for the parameter determination. In this context it is interesting to note that the final results show just one $n-\pi^*$ singlet, ${}^{1}B_{3u}$, in this region. The forbidden $n-\pi^*$ transition, ${}^{1}B_{2g}$, is found in the same region as the first $n-\pi^*$ singlet transition (cf. Table 4 and Ref. 3).

The obtained parameters are found in Table 1. The method can easily be extended to other heteroatomic molecules containing lone pairs.

III. RESULTS AND DISCUSSION

The parameter values, listed in Table 1, were used in a study of the electronic structure and the electronic spectra of a series of molecules containing nitrogen atoms. The numbering of atoms and choice of axes are given in Fig. 1.

Table 1. Semi-empirical parameters for σ lone pair, n, in nitrogen containing molecules. Two sets of parameters are given. In the determination of one-center and non-neighbour two-electron integrals, the lone pair orbital has been considered as a localized orbital with I, sp^2 hybridization; and II, 10 % s character. All values in eV. For notations, see text.

n Parameters	I	II
$\gamma_{N_1(n)N_1(n)}$ $\gamma_{N_1(n)N_1(n)}$ $\gamma_{N_1(n)N_1(n)}$ $\gamma_{N_1(n)N_1(n)}$ $\gamma_{N_1(n)N_2(n)}$	17.53 13.95 1.57 7.36 9.00 9.00 -0.563 -0.620 +0.569	16.32 13.83 1.05 6.28 9.00 9.00 -0.395 -0.607 +0.528
$W_{\mathbf{N}(n)}$ $\Delta W_{\mathbf{N}_{1}(n)}(\mathbf{N}_{2})$	10.90 0.19	$-11.02 \\ -0.30$

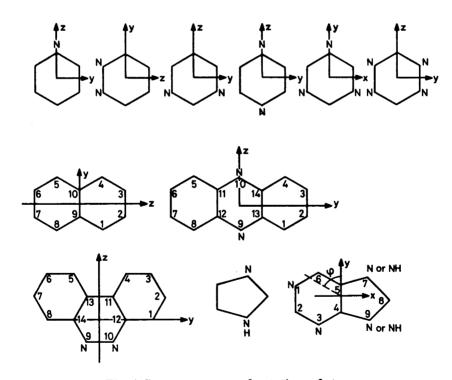


Fig. 1. Symmetry axes and notations of atoms.

The results obtained are collected in Tables 2-17, together with available experimental data.

1. Bond lengths. A suitable geometry (experimentally determined when available, otherwise assumed) for the molecules was chosen to start with. Bond distances were obtained from the calculated bond orders, $p_{\mu\nu}$ by means of the relations: 1,3,10

$$R_{\mu\nu}$$
 (C,C) = 1.517 – 0.18 $p_{\mu\nu}$ (4)

$$R_{\mu\nu}$$
 (C,N) = 1.458 – 0.18 $p_{\mu\nu}$ (5)

$$R_{\mu\nu}$$
 (N,N) = 1.453 – 0.18 $p_{\mu\nu}$ (6)

For those molecules where the bond distances, $R_{\mu\nu}$, deviated by more than $\pm\,0.01$ Å from the input value for any bond, the calculation was repeated with the obtained values as input for the new geometry. This procedure was repeated until selfconsistency was achieved.

Calculated values of bond lengths for azabenzenes, imidazole, and purines have been published previously.^{3,22} For the rest of the molecules here treated, the calculated bond lengths are given in Table 2. These results are very close to previous theoretical determinations by Tinland.²³

Table 2. Calculated and experimental (for phenazine only) bond lengths in Å. The numbering of the atoms is given in Fig. 1.

Bond	Phthalazine calc.	Cinnoline calc.	Quinazoline calc.	Quinoxaline calc.	1,5-Naphthpyridine calc.
1-2	1.325	1.315	1.323	1.321	1.321
2 - 3	1.347	1.358	1.357	1.419	1.420
3 - 4	1.325	1.381	1.321	1.321	1.377
5 - 6	1.379	1.378	1.379	1.378	1.321
6 - 7	1.416	1.418	1.417	1.418	1.420
7 - 8	1.379	1.378	1.378	1.378	1.377
1 - 9	1.423	1.364	1.360	1.359	1.362
8 - 9	1.424	1.426	1.426	1.427	1.425
4 - 10	1.423	1.421	1.423	1.359	1.425
5 - 10	1.424	1.426	1.424	1.427	1.362
9 - 10	1.414	1.414	1.416	1.417	1.416
	Phena		Don d	9,10-Dia	zaphenanthrene
	calc.	exp. ⁶⁶	Bond	calc.	•
1-2	1.369	1.375^{a}	1-2	1.386	
$\frac{1}{2} - \frac{2}{8}$	1.430	1.412	$\frac{1}{2} - \frac{2}{3}$	1.408	
5 - 11	1.430	1.408ª	3-4	1.387	
10 - 11	1.342	1.345 ^a	9-10	1.303	
11 - 12	1.428	1.433	4-11	1.414	
	1.120	1.100	1 - 12	1.415	
			10-12	1.379	
			11 - 12	1.410	
			11 - 13	1.443	

^a The arithmetic mean of the values published by Herbstein and Schmidt. ⁶⁶

2. Ionization potentials. The predicted molecular vertical ionization potentials, estimated by Koopman's theorem, are given in Tables 3 and 4. Previous calculations of IP (π) -values 3,24 by the present method have shown a very good agreement between calculated and observed values not only for the first ionization potential but also for higher ionization potentials. For some of the molecules here treated, several different assignments, based on experiments 17,25,26 as well as on MO calculations, 14,27-30 have been made. Especially the question of the nature of the first IP has been widely dis-

Table 3. Calculated and observed ionization potentials. Values in eV. Previously published $IP(\pi)$ -values ^{8,10} are quoted to allow comparison with experimental data.

Molecule	Ref.					IP			
	Obs. ²⁶ Obs. ¹⁷	9.31 9.28*	(9.51)		10.45 10.54*		$12.30 \\ 12.22$		(13.83) 13.83
Pyridine	Calc. I Calc. II	9.27	9.72 *		10.46 10.51		_	12.78	
	Sym. a	$a_2(\pi)$	$b_1(\pi)$		$a_1(n)$		$b_2(\sigma)^c$	$b_1(\pi)$?
	Obs. ²⁶ Obs. ¹⁷	8.90 8.91	(9.22)		10.53 10.55		11.16 11.13*		13.63 13.59*
Pyridazine	Calc. I Calc. II	9.62		9.99 10.34		10.26	11.12 11.13		13.59
	Sym. a	$a_2(\pi)$		$b_2(n)$		$b_1(\pi)$	$a_1(n)$	<u>-</u>	$b_1(\pi)$
	Obs. ²⁶ Obs. ¹⁷	9.42 9.47*	(9.64)		10.39 10.39		11.06 11.11*		13.62 13.60
Pyrimidine	Calc. I Calc. II	9.50° »		9.91 9.92		10.06	11.15 11.13		13.15 »
	Sym. a	$b_1(\pi)$		$b_2(n)$		$a_2(\pi)$	$a_1(n)$		$b_1(\pi)$
	Obs. ³⁵ Obs. ²⁶ Obs. ¹⁷	9.62 9.36 9.27*	(9.51)		10.16 10.15 10.11		11.38 11.14 11.15*	11.83 11.73 11.68	13.60 13.13 13.10
Pyrazine	Calc. I Calc. II	9.32		10.01 10.10		10.30	11.15	_	13.13 »
	Sym.a	$b_{1g}(\pi)$		$a_{\mathbf{g}}(n)$		$b_{2g}(\pi)$	$b_{1u}(n)$	$b_{ extsf{3g}}(\sigma)^d$	$b_{3u}(\pi)$

^a Symmetry axes labelled according to Mulliken's conventions ³⁹ (see also Fig. 1).

* Data used for parameter determination.

b According to Baker 25 there are two bands in the lowest energy region (cf. text).

c Assignment made by Lindholm ³⁴ (cf. text).

d In an ab initio SCF calculation, Petke et al. ³⁸ obtained the same order of orbital energies as in the present investigation. In between $b_{1u}(n)$ and $b_{3u}(n)$ they obtained a $b_{3g}(\sigma)$ orbital (cf. text).

Table 4. Calculated and observed ionization potentials. Values given in eV. Symmetry axes, see Fig. 1.

Molecule						IF	•			
sym-Triazine	Calc. I Calc. II Sym.	9.97 9.93 e'(n)	10.08 10.08 e''(π)	11.83 11.74 $a_1'(n)$	13.48 13.48 $a_2''(\pi)$					
sym-Tetrazine	Calc. I Calc. II Sym.	$egin{array}{c} 9.06 \ 9.42 \ b_{3g}(n) \end{array}$	$9.96 \\ 9.96 \\ b_{2g}(\pi)$	11.32 11.26 $b_{2u}(n)$	11.42 11.42 $b_{1g}(\pi)$	$11.43 \\ 11.42 \\ a_g(n)$	11.69 11.44 b _{1u} (n)		14.33 14.33 b _{3μ} (π)	
Phthalazine	Obs. ²⁶ Calc. I Calc. II Sym.	$8.68 \\ 8.37 \\ 8.37 \\ a_2(\pi)$	9.17 9.21 9.21 $b_1(\pi)$	9.68 9.78 10.16 $b_2(n)$	10.28 10.28 $a_2(\pi)$	77 10.91 10.95 $a_1(n)$	$12.07 \\ 11.96 \\ 11.96 \\ b_1(\pi)$	(12.58)	14.09 13.51 13.51 $b_1(\pi)$	15.23
Cinnoline	Obs. ²⁶ Calc. I Calc. II Sym.	8.51 8.52 8.52 π	9.03 9.08 9.08 π	9.75 9.97 10.35 n	10.49 10.49 10.49	83 11.10 11.14 n	12.04 11.89 11.89 π	(12.46)	13.85 13.74 13.74 π	16.16
Quin az oline	Obs. ²⁶ Calc. I Calc. II Sym.	- 8.37 8.37 π	9.02 8.99 8.99 π	9.74 9.87 9.84 n	10.72 10.51 10.51 π	11.26 11.12 11.10 n	12.02 11.61 11.61 π		13.78 13.33 13.33 π	(14.41)16.28
Quinoxaline	Obs. ²⁶ Calc. I Calc. II Sym.	 8.44 8.44 a ₂ (π)	8.99 8.80 8.80 b ₁ (n)	-9.97 9.85 $a_1(n)$	10.72 10.79 10.79 $a_2(\pi)$	11.11 11.08 b ₂ (n)	11.58 11.45 11.45 b ₁ (n)	(12.32)	13.98 13.40 13.40 b ₁ (π)	15.30 16.21
1,5-Naphthpyridine	Calc. I Calc. II Sym.	8.37 8.37 π	8.63 8.63 π	10.39 10.39 π	10.41 10.40 n	10.41 10.40 n	11.39 11.39 π		13.17 13.17 π	•
Phenazine	Obs. ⁸¹ Calc. I Calc. II Sym.	$egin{array}{c} 8.4 \\ 7.80 \\ 7.80 \\ b_{2g}(\pi) \end{array}$	$8.64 \\ 8.64 \\ b_{1g}(\pi)$	9.19 9.19 $a_{u}(\pi)$	9.9 9.84 9.88 $a_g(n)$	10.63 10.63 $b_{3H}(\pi)$	10.92 10.92 $b_{2g}(\pi)$	10.98 10.94 b _{1u} (n)	12.03 12.03 $b_{1g}(\pi)$	13.38 13.38 b _{3u} (π)
9,10-Diazaphenanthrene	Calc. I Calc. II Sym.	8.356 8.356 a ₂ (π)	$8.362 \\ 8.362 \\ b_1(\pi)$	9.61 9.61 a ₂ (π)	9.99 9.99 $b_1(\pi)$	10.53 10.14 $b_2(n)$	$11.32 \\ 11.27 \\ a_1(n)$	11.88 11.88 b ₁ (π)	12.14 12.14 $a_2(\pi)$	
Imidazole	Calc. I Calc. II Sym.	8.36 8.36 π	9.49 9.49 π	9.52 9.82 n	12.57 12.57 π	•				
9H-Purine	Calc. I Calc. II Sym.	8.15 8.15 π	8.63 8.63 π	9.51 9.52 n	9.86 10.06 n	10.42 10.42 π	10.78 10.77 n	11.72 11.72 π	13.36 13.36 π	
7H-Purine	Calc. I Calc. II Sym.	8.34 8.34 π	8.50 8.50 π	$9.41 \\ 9.52 \\ n$	9.83 10.01 n	10.46 10.46 π	10.66 10.74 n	11.72 11.72 π	13.37 13.37 π	

cussed.³¹⁻³³ Identification of ionization processes as π , σ , or n ionizations has until now been made with confidence for only a few number of ionization processes of these molecules.

Turner, in 1966,¹⁷ published photoelectron spectroscopy (PS) data of azabenzenes. Later, Turner ⁸² and Baker ²⁵ reported high resolution PS data for some of these molecules. Dewar and Worley ²⁶ recently have given PS results for azabenzenes and azanaphthalenes.

In the following, the calculated IP-values for some of the molecules will be discussed in detail in comparison with experimental values and other MO results.

Pyridine. From an analysis of the vibrational fine structure of the high resolution PS bands, Baker 25 makes the conclusions that the first band is composed of two separate components attributable to two π orbitals, and that the second band at around 10.5 eV is an n level. This is in accordance with the calculated values and order of orbital energies of the present investigation (cf. Table 3), and also in agreement with ab initio SCF MO results by Clementi 27 and Petke et al., 28 who both obtained the order 1 $a_2(\pi)$, 2 $b_1(\pi)$, 11 $a_1(n)$, 7 $b_2(\sigma)$, 1 $b_1(\pi)$... Their orbitals 7 $b_2(\sigma)$ and 1 $b_1(\pi)$ are close in energy, indicating that the observed third band starting at 12.2 eV may be composed of two bands. Apart from the lowest IP, Dewar and Worley 26 give a different assignment based on an all valence MO method, MINDO. They obtain the order IP_1 (π), IP_2 (n) in the lowest energy region, and IP_3 (π), IP_4 (σ) in the region 10-11 eV. Recently, Johnsson et al. 44 have thoroughly analysed ionization processes in pyridine by investigating PS data, Kydberg transitions, and mass spectral measurements. They conclude that the band starting at 12.2 eV corresponds to a bonding σ orbital.

Pyrazine. Eland 35 has recently published high resolution PS data for pyrazine, giving values of vertical ionization potentials. Like Baker, and Dewar and Worley, he concludes that the first ionization is from a π orbital. A comparison between different MO calculations of pyrazine has previously been published by the present author. 24 The calculated order of orbital energies (cf. Table 3) is in agreement with del Bene and Jaffe's 30 CNDO-results, and the ab initio SCF results of Petke et al. 28 The ab initio SCF studies by Clementi 27 and Petke et al. 28 show that the orbital energies of $1b_{1g}(\pi)$ and $6a_g(n)$ are very close. Clementi's results even give a reversed order of these orbitals, like the all valence calculations by Yonezawa et al. 29 Dewar has also suggested two close bands in the lowest energy region, while Eland's data and the present calculations show only one band in the lowest energy region.

According to the present investigation, the lowest P(n) and P(n) are to be found in the same energy region, indicating that the observed band at around 10 eV may be composed of two bands.

Pyrimidine. The present investigation gives the same order of orbital energies as for pyrazine (Table 3). The lowest IP(n) and $IP(\pi_2)$ are also here found in the same energy region around 10 eV. Del Bene and Jaffé obtained the same order from their CNDO calculation, while Yonezawa et al. predict an n orbital to be the highest occupied orbital. Baker makes the interpretation of his PS-data that the first ionization is from a π level, an assignment also made by Yencha and El-Sayed 32 from an analysis of their photoionization

measurements. Dewar, on the contrary, attributes the first IP to an n ionization. This controversy can finally be solved by a careful analysis of high resolution PS data in combination with a study of other types of experiments (cf. the analysis of benzene 36 and pyridine 34 by Lindholm and co-workers).

Table 5. Calculated and observed $n-\pi^*$ singlet transitions of azabenzenes. Calculated $\pi-\pi^*$ transitions have been published previously. The $\pi-\pi^*$ singlet transitions of sym-triazine are given, as there unfortunately was an error in previously published data. Transition frequencies in kK.

					Obser	vations	
Molecule	Calcu	lations		Ref. 18		Ref. 40	
	Sym.a	$\nu(I)$	v(II)	$v_{ m max}$	v(0-0)	f	Sym.a
	¹ B ₁ (A)	36.6	36.7	*37.0	34.771	0.003	¹ B ₁
Pyridine	¹ A ₂ (F)	48.8	52.6		V =		-1
	¹ B ₁ (A)	77.7	77.7				
	¹ B ₁ (A)	34.0	33.8	*33.5	31.073	0.007	¹B ₁
	¹ A ₂ (F)	36.5	36.7	"	0-10.0		${}^{1}A_{2}(?)$
Pyrimidine	${}^{1}A_{2}(F)$	47.4	50.8				2(1)
3	${}^{1}B_{1}(A)$	52.4	55.0		51.14	~ 0.005	${}^{1}B_{1}(?)$
	¹ A ₂ (F)	75.3	74.8				1 '
	¹ B ₁ (A)	82.7	82.5				
					~ 30.425	i i	
	¹B₃₂(A)	33.3	33.6	30.5	30.876	0.010	$^{1}B_{3u}$
	¹ B _{2g} (F)	39.4	39.7	00.0	00.010	0.010	13u
Pyrazine	${}^{1}A_{\boldsymbol{u}}(\mathbf{F})$	44.7	48.9				
I y I WEIII	${}^{1}B_{1g}(\mathbf{F})$	53.9	57.4		54(?)		$^{1}B_{3u}(?)$
	${}^{1}B_{2g}^{1g(1)}$	77.3	77.1		01(1)		34(.)
	${}^{1}B_{3u}(\mathbf{A})$	83.3	83.2				
	¹ B ₁ (A)	29.4	29.4	*29.4	26.649	0.006	¹ B ₁
	${}^{1}A_{2}(F)$	36.2	35.1	25.4	20.040	0.000	D_1
Pyridazine	${}^{1}A_{2}(\mathbf{F})$	43.4	46.7				
1 yrraazine	112(1)	10.1	40.1	1 1	(50.865 or		
	¹ B ₁ (A)	49.7	52.2	1 1	51.503	_	${}^{1}B_{1}(?)$
	${}^{1}B_{1}(A)$	72.3	71.0		401.000		D1(.)
	¹ A ₂ (F)	80.8	80.2				
	¹ A ₂ "(A)	33.4	32.3	36.8	31.574	~ 0.018	14."
	1E''(F)	35.4	35.0	50.0	32.500		$\begin{array}{ c c c c c c c c c c c c c c c c c c c$
	¹ A ₁ "(F)	43.6	47.4		04.000		11, 1
sym-	$A_{2}'(F)\pi - \pi^{*}$	44			44.000	~ 0.002	¹ A ₂ '
Triazine	1E"(F)	53.5	56.3	j [22.000		
	- (-)	00.0	00.0		55.782		$^{1}A_{2}^{\prime\prime}$ or
	$^{1}A_{1}'(\mathbf{F})\pi - \pi^{*}$	59	.6		00.102		1E'
	$^{1}E'(A)\pi-\pi^*$	62					_
	${}^{1}E''(\mathbf{F})$	76.4	75.4]			
	$^{1}E'(A)\pi-\pi^*$	77		1			
	$^{1}E'(\mathbf{A})\pi - \pi^*$	82					
	¹ A ₂ "(A)	87.9	87.2				

Table 5. Continued.

	¹ B _{3u} (A) ¹ A _u (F)	22.1 30.6	21.9 32.9	18.6	18.129	0.0042	¹ B _{3#}
		33.8	33.6	~ 31.25		~ 0.001	${}^{1}B_{3u}, {}^{1}A_{u}$ or ${}^{1}B_{2g}$
sym-	${}^{1}B_{1g}(\mathbf{F})$ ${}^{1}B_{2g}(\mathbf{F})$	37.7	34.6				Or -Dag
Tetrazine	${}^{1}A_{u}(\mathbf{F})$ ${}^{1}B_{3u}(\mathbf{A})$	40.7 50.9	$\frac{40.3}{52.7}$				
	${}^{1}B_{1g}(\mathbf{F})$ ${}^{1}B_{2g}(\mathbf{F})$	51.1 51.8	$\begin{array}{c} 53.3 \\ 55.8 \end{array}$				
	${}^{1}B_{1g}^{1g}(\mathbf{F})$ ${}^{1}B_{3u}(\mathbf{A})$	70.8 83.5	$72.2 \\ 84.6$				
	${}^{1}A_{u}(\mathbf{F})$ ${}^{1}B_{\mathbf{z}\mathbf{g}}(\mathbf{F})$	84.3 85.2	83.2 84.2				

- Symmetry axes labelled according to Mulliken's conventions.³⁹
- A. Allowed (electronically).
- F. Forbidden (electronically).
- * Data used for parameter determination.

Pyridazine. Gropen and Skancke ¹⁰ have previously discussed assignments of the measured ionization potentials of pyridazine. Pyridazine has also been discussed by Yencha and El-Sayed, ³² and commented by Del Bene and Jaffé. ³³ Like the CNDO-calculations of Del Bene and Jaffé, the present results show that the lowest ionization is from a π orbital, a tentative assignment also made by Baker from his PS data. Dewar attributes IP₃ to an n ionization, and so do Yonezawa et al.

sym-Triazine and sym-tetrazine. Here, the present calculations give the result (Table 4) that the lowest ionization is from an n orbital. As far as is known to the author, there are no accurate IP measurements of these molecules. It will of course be very interesting to see whether future high resolution PS measurements do confirm the predictions made by the present method.

Azanaphthalenes. In Table 4, the calculated values are given together with Dewar's PS data for azanaphthalenes. It is interesting to note the good agreement, especially for phthalazine and cinnoline, for which molecules Dewar has suggested a different assignment.

Purines. There are no accurate IP measurements for these molecules. Pullman and Rossi 37 have suggested that $IP(\pi) < IP(n)$ for all biochemical

purines, in accordance with the present results.

3. Electronic spectra. The electronic transition energies have been calculated by configurational mixing of all singly excited configurations. The oscillator strengths have been estimated from the standard formula of Mulliken and Rieke.³⁸

In Tables 5-14, the calculated singlets are collected and compared with available experimental data. The lowest $n-\pi^*$ and $\pi-\pi^*$ triplets are given in Tables 15-17. The parameter scheme used in the present investigation and in other papers of this series $^{1-10}$ is adopted to give agreement between calculated and observed singlet transitions of a chosen set of small standard molecules. The parametrization implicitly takes account of part of the correlation. Since

Table 6. Electronic singlet transitions of phthalazine. Transition frequencies in kK.

	Calculations							Observations	ons			
Sym.	(I)	H)	4		Marzacco, 48 crystal spectra	so,48		n. T	Hirt et al.,67 in cyclohexane	, es	Müller an	Müller and Dörr, 68 in heptane
				Sym.	Range	70-0	Emax	Range	"max	emax.	Sym.	v ₀₋₀
${}^{1}B^{1}(\mathbf{A})\;n-\pi^{*}$	31.7	32.6		$^{1}A_{3}$	25-27	25.259						
								25 - 30	27.58	41	$n-\pi^*$	25.820
$^1A_2(\mathbb{F})\;n-\pi^*$	33.3	35.9	0	1A3	27 - 29	27.0164						
$^{1}A_{1}(z) \ \pi-\pi^{*}$	34.8		0.01	1A1		32.79	788	32 - 36	34.48	870	$^{1}L_{h}$	33.000
$^{1}B_{2}(y)$ »	40.3	_	0.25	$^{1}B_{3}^{-}$		35.76	3800	37 - 40	38.6	4100	$^1L_a^{\circ}$	37.430
$^1A_2(\mathrm{F}) \ n-\pi^*$		8.4	0,	1								
¹ B ₁ (A) * *	46.1 4	48.0										
$^{1}A_{1}(z) \pi - \pi^{*}$	48.4		0.0003					,				
$^{1}B_{2}(y)$ »	48.7		0.0014									
$^1A_1(z)$ »	51.5		1.97					43-	47.1	59500	1B_h	46.500
$^1B_2(y)$ »	52.7		0.0003								,	
$^1B_2(y)$ »	54.4	_	0.78									
$^{1}A_{1}(z)$ »	62.3		0.0032									

s Shoulder. a Origin assumed, not observed.

Table 7. Electronic singlet transitions of cinnoline. Transition frequencies in kK.

	Calcula	tions			Obs	ervations	
			·	1	d Grogan, 69 pour		nd Bellbono, 70 n hexane
Sym.	$\nu(\mathbf{I})$	$\nu({ m II})$	f	Sym.	v ₀₋₀	$v_{ m max}$	$\begin{array}{c c} f \\ 2 \times 10^{-5} \varepsilon_{\text{max}} \end{array}$
$n-\pi^*$	26.3	26.2		$n-\pi^*$	22.711		
$\pi - \pi^*$		5.1 32.5	$n-\pi^*$ $\pi-\pi^*$	31.542	32.2	0.03	
$n-\pi^*$	35.1			1			
$\pi - \pi^*$		0.8	0.19			37.5	0.095
$n-\pi^*$	45.5	50.3				4 = 0	
$\pi - \pi^*$		3.4	0.15			45.6	0.86
» 		0.5 1.2	$0.40 \\ 1.48$			50.7	0.84
» »		1. <i>2</i> 3.6	0.26			50.7	0.04
$n \stackrel{"}{-} \pi^*$	54.1	56.7	0.20				
	61.0	63.5					
* π— π*		1.5	0.03				

correlation effects are different for singlet and triplet states, the present parameters will give too low values for triplets. The singlet-triplet splitting is around 1 eV too large consistent with the value of the pair correlation energy.

Table 8. Electronic singlet transitions of quinazoline. Transition frequencies in kK.

	Calcu	lations			Observat	ions	
					nd Bellobono, ⁷⁰ n hexane	_	va et al., ⁷¹ pour
Sym.	ν(I)	$\nu({ m II})$	f	ν _{max}	$2 \times 10^{-5} \varepsilon_{\text{max}}$	Sym.	ν ₀₋₀
$n-\pi^*$	31.8	31.6				$n-\pi^*$	27.581
$\pi - \pi^*$	34	4.7	0.03	32.2	0.03		
$n-\pi^*$	36.3	36.6					
$\pi - \pi^*$	40	0.9	0.21	37.5	0.095		
»		8.5	0.21	45.7	0.86		
$n-\pi^*$	48.6	51.6					
$\pi - \pi^*$		0.3	1.15	50.7	0.84		
*		2.6	0.49				
*		3.8	0.51				
*		4.3	0.49				
$n-\pi^*$	54.5	57.7					
»	60.9	60.9					
$\pi - \pi^*$	6.	1.9	0.048				

Table 9. Electronic singlet transitions of quinoxaline. Transition frequencies in kK.

	Favini and Bellobono, ⁷⁰ in hexane	$rac{ ext{f}}{2 imes10^{-6}} ext{e}_{ ext{max}}$	0.065	0.085	0.33	0.69			MA V	
	Favini e	v _{max}	31.5	34.38	43.1	51.0				
	Kummer and Zimmermann, ⁷⁸ in heptane	v ₀₋₀	26.6	~ 34	42.3					
tions	1	Sym.	$\stackrel{n-\pi^*}{{}_{\! 1} L_b}$	$^{1}L_{a}$	$^{1}B_{b}$					
Observations	Hasegawa et al., '2 vapour	Sym. "0-0	$n{-}\pi^*$ 26.017							
	Назеда	Sym								
	g\$	v ₀₋₀	25.825 30.8							_
	Marzacco, 48 orystal spectra	range	25.8-28.6 25.825 30.8							
	0	Sym.	1B_1 1A_1							_
	4		0.05	0.14	0.56 0	$1.47 \\ 0.64$	0.005	0.20	6	0.03
suc	(II)		34.3	36.8 40.8	52.0	51.6 51.8	4.1	59.7	9.09	61.4
Calculations	Œ		30.7	36.8	49.0			56.4	60.4	• -
0	Sym.		${}^{1}B_{1}(\mathrm{A}) \ n-\pi^{*}$ ${}^{1}A_{1} \ \pi-\pi^{*}$	$^{1}A_{2}(F)$ $n-\pi^{*}$ $^{1}B_{3}$ $\pi-\pi^{*}$	$^{1}A_{1}^{1}$ " $^{1}A_{2}(\mathrm{F})\;n\!-\!\pi^{*}$	$\frac{1}{1}A_1$ $\pi-\pi^*$ $\frac{1}{1}B_2$,	1B ₂ *	$^{-B_{2}}_{1B_{1}(A)}$	${}^{1}B_{1}(A)$,	$^{1}A_{1}$ $\pi-\pi^{*}$

	Calcu	lations			Ol	oservatio:	ns	
					et al.,74 ohexane	Mü	ller and Dö in heptane	
Sym.	ν(I)	v(II)	f	ν	f	ν _{max}	Range	log e
$n-\pi^*$ $n-\pi^*$	34.6	4.2 34.4	0.06	32.5	0.13	34	31 – 38	3.5
$n = n^*$ $n = n^*$	4	2.1 8.2	0.12 0	38.9	0.094	42	37 – 45	3.7
» »	5	0.8 2.1	2.07 0.91	48.5	1.08			
$\stackrel{>}{n-\pi^*}$	53.1	2.8, 56.1	0					
$\pi - \pi^*$	6	5.0 1.1	0					
» n – ~*	628	2.5	0					

Table 10. Electronic singlet transitions of 1,5-naphthpyridine. Transition frequencies in kK.

According to the preceding discussion it is not possible to obtain good agreement with experimental spectral data for both singlets and triplets with the same set of parameters. When more accurate triplet spectral data are available, it will be possible to determine in the same way parameters to be used for calculations of triplet state energies. The calculated relative order of the triplets is expected to be given correctly by the present method. As far as is known to the author, no triplet-triplet absorption data are found in the literature for the molecules treated here. The results of the present investigation can give an indication of where triplet-triplet transitions are to be found.

64.4

As $\pi - \pi^*$ transitions calculated by the present method have been thoroughly discussed in previous paper,^{3,22} the following discussion will be concentrated on $n - \pi^*$ transitions.

Azabenzenes. Calculated and observed singlet $n-\pi^*$ transitions of azabenzenes are given in Table 5. Symmetry axes are labelled according to Mulliken's conventions. 39,40 $\pi-\pi^*$ singlet transitions calculated by the present method have been published previously. 3,10 The overall agreement with experimental findings is satisfactory, especially for calculation I (sp^2 hybridization assumed).

Experimental data and assignments based on orbital considerations have been collected and critically discussed in a review by Innes et al.⁴⁰ The spectral data tabulated in their article will be used for comparison with calculated values throughout this paragraph. Hochstrasser et al. have recently published low-temperature electronic absorption spectra of several aromatic azines.^{41–46} They have thoroughly discussed the nature of the lowest $n-\pi^*$ and $\pi-\pi^*$ triplet and singlet states and the perturbations between these states. In the literature, there have been some discussions about the nature of the lowest

Table 11. Electronic singlet transitions of phenazine. Transition frequencies in kK.

	Calculations					Observations	etions			
			Hochst	Hochstrasser," crystal spectra	Kummer	Kummer and Zimmermann, ⁷⁸ in ethanol	ermann,78	Perkan	Perkampus and Kortüm 76	ortüm 76
Sym."	$\nu(I)$ $\nu(J)$	u(II) f	Sym.	20-0	Sym.	v ₀₋₀	log e	Sym.	v ₀₋₀	log e
$^{1}B_{3u}(\mathrm{A}) \; n-\pi^{*}$ $^{1}B_{1u}(\mathrm{z}) \; \pi-\pi^{*}$		29.0	$^1B_{3u}$	22.881	$^{1}L_{a}$	25.0	3.5	$^{1}L_{a}$	26.08	3.3
$\frac{2u(y)}{2u(F)}$ *	36.3	95.4			$^{1}L_{b}$	27.3	4.2	$^{1}L_{b}$	27.6	4.1
$^{^{^{\prime}}g}_{^{^{\prime}}g}(F)$ $\pi-\pi^*$ $^{^{\prime}}A_{\sigma}(F)$ $^{^{\prime}}$	$\begin{array}{c} 38.9 \\ 42.6 \end{array}$									
34(Y) »	44.6	1.67			$^{1}B_{b}$	39.6	5.1			
$\frac{\partial \mathbf{g}(\mathbf{z})}{\partial \mathbf{u}}$	48.7	0.01								
$u(\mathbf{F}) n-\pi^*$		53.8			1 <i>C</i> *	48.1	4.3			
	51.9	0.66			S					
$n_{1g}(\mathrm{F}) \; n - \pi^*$		0.00								
2 (X) *	56.0	0.29								
${}^{1}A_{g}(\mathrm{F})$ » ${}^{1}B_{2}(\mathrm{A})$ $n-\pi^*$		59.2								
$_{3p}(F) \pi - \pi^*$	58.5	0								
g(F)	59.4	0								
(x) %	6.09	0.10	-							

 a For choice of symmetry axes, see Fig. 1.

Table 12. Electronic singlet transitions of 9,10-diazaphenanthrene. Transition frequencies in kK.

Calculations				Observations							
				Marzacco,48 crystal spectra			Badger and Walker,77 in cyclohexane				
Sym.ª	$\nu(\mathbf{I})$	v(II)	f	Sym.	Range	ν ₀₋₀	v _{max}	Range	$\log \varepsilon_{\max}$		
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	33.6 3 3 4 4 4 4 5 51.9 5 5 5	24.5 30.8 2.5 9.0 9.8 4.8 6.0 8.3 9.8 0.9 56.3 4.1 4.5 7.2 62.2	0 0.02 0.27 0.004 1,79 0.30 0.39 0.32 0.23 0.022 0.07 0.02	181	23 – 25	23.094	24.4	27 - 31 32 - 36 36 -	2.5 3.1 3.7 4.5		

^a For choice of symmetry axes, see Fig. 1.

singlet transition in the diazabenzenes (see, e.g., Ref. 47, p. 90). El-Sayed and Robinson, 20,48 from measurements of the absorption spectra in pure crystal at 4°K, concluded that the lowest singlet state was a forbidden $n-\pi^*$ state in all diazabenzenes. Cohen and Goodman ²¹ based their discussion of radiationless paths in the diazines on this interpretation. Now El-Sayed in a recent publication ⁴⁹ has stated that the lowest singlet in pyrazine is the allowed $_1B_{3u}(n-\pi^*)$ state, as has also been shown by Innes et al. ¹⁹ In a recent paper,

Table 13. Electronic singlet transitions of imidazole. Transition frequencies in kK.

	Calculation	ons		Observations					
					Bonnier, ⁷⁸ hanol	Braude, 60 in ethanol			
Sym.	ν(I)	v(II)	f	v _{max}	loge	v _{max}	$\epsilon_{ m max}$		
$n - \pi^*$ $\pi - \pi^*$ $n - \pi^*$ $\pi - \pi^*$	52.3	41.7 7.6 2.5 57.0	$0.33 \\ 0.04$	48.4	3.32	40.0 > 47.6	60 > 5000		

Table 14. Electronic singlet transitions of 9H-purine and 7H-purine. Transition frequencies in kK.

		55 40	do oc	-		48		- 06 ~			-	
	tion	Clark,										_
	Observation	Chen and Clark, 65 crystal spectra b	итах	34		38	40	44			~ 53	
	qo	Chei	Sym.	$n-\pi^*$		$\pi - \pi^*$	$n-\pi^*$	$\pi - \pi^*$			$n-\pi^*$	
7H-purine			Pol.	-1	-1 -1	4 ₈		124	50	94	-	-
[]	su		4			0.09		0.13	0.35	0.87		
	Calculations		v(II)	32.3	37.2 37.8	38.8		5.5	51.8	2.2	53.8	59.3
			r(I)	32.0	35.0 36.2	ಣ		4	τĊ	10	50.3	55.8
		Sym.		$n-\pi^*$	* *	$u-x^*$	١	π - π	*	*	$n-\pi^*$	*
	ations	tions Tinoco, 61 phosphate	44	0.0035		0.1		0.05		9.0		
	Observations	Clark and Tinoco, ⁶¹ in trimethyl phosphate	"max or range	33 - 34.5		37.7		41.7	49.5)	-	53.2)	
9H-purine			Pol.* \$\psi^{\omega}\$	4	-1-	102		71	147		91	78
6	sci		£			0.05		0.36	0.47		0.38	0.48
	Calculations		ν(II)	35.4	38.2	39.4		3.8	50.9	51.3	54.9	7.1
	S		v(I)	35.0	35.5 37.6	ಣ		4	50	48.3	õ	ĸ
			Sym. v(I)	$n-\pi^*$	* *	$\pi - \pi^*$	1	**-"	*	$n-\pi^*$	$\pi - \pi^*$	*

 a For notations, see Fig. 1. b From polarized reflection spectra. c Approx. free-molecule polarization directions deduced by a simple correction $f_{\rm C}$ intermolecular interactions.

Table 15. Electronic triplet transitions of azabenzenes. The lowest $n-\pi^*$ and $\pi-\pi^*$ triplets.4 Transition frequencies in kK.

	Calc	ulations	Observations						
Molecule				acco,48 l spectra	Innes et al.,40 vapour				
	Sym.	$\nu(I)$ $\nu(II)$	Sym.	ν ₀₋₀	Sym.	ν ₀₋₀			
Pyridine	$\begin{vmatrix} {}^{3}A_{1} & \pi - \pi^{*} \\ {}^{3}B_{1} & n - \pi^{*} \\ {}^{3}A_{1} & \pi - \pi^{*} \end{vmatrix}$				⁸ A ₁	~29.7			
Pyridazine	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	23.2	⁸ B₁		³B₁	~ 24.8			
Pyrimidine	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		π-π* 3B ₁	~ 29.8 ^b 28.916	³ A ₁ ^c ³ B ₁	29.280			
Pyrazine	${}^{3}B_{3u} n - \pi^{*} \ {}^{3}B_{1u} \pi - \pi^{*} \ {}^{3}B_{2g} n - \pi^{*} \ {}^{3}B_{1u} \pi - \pi^{*}$	22.1 26.2 23.1 27.6 31.9 34.1	$^{8}B_{8}u$ $\pi-\pi^{*}$		³ B ₃₄	26.820			
sym-Triazine	$A_{2}^{"}$ $n-\pi^{*}$ $A_{2}^{"}$ $n-\pi^{*}$ $E^{"}$ $n-\pi^{*}$ $E^{"}$ $n-\pi^{*}$	22.6 25.3 23.2 24.6 28.0 35.5			³ E", ³ A ₂ "	26.400			
sym- Tetrazine	$ \begin{vmatrix} {}^{3}B_{3u} & n - \pi^{*} \\ {}^{3}B_{1g} & {}^{3} \\ {}^{3}A_{u} & {}^{3} \\ {}^{3}B_{1u} & \pi - \pi^{*} \end{vmatrix} $	11.8 15.1 21.7 25.5 21.8 25.7 23.0							

⁴ As the parameters used in the calculations are parameters determined from experimental singlet transitions, the calculated triplet energies will be too low (cf. text) in comparison with experimental values. b Estimated from the observed broadening of the vibronic bands.

Innes and co-workers 50 have published vibrational and rotational analysis of the lowest $n-\pi^*$ singlet transition in pyrimidine from high resolution vapour absorption spectra. Their main conclusion is that all strong bands arise from only one electronic transition, to the allowed $n-\pi^*$ state of symmetry 1B_1 . From all valence calculations, Yonezawa et al. 29 obtain the result that the lowest singlet state in pyridine and diazabenzenes is an allowed $n-\pi^*$ state, as also found in the present investigation. Del Bene and Jaffé 30 likewise found that the lowest singlet was an $n-\pi^*$ state from their CNDO calculations on the same molecules, but they have not given the symmetries in their

^c From phosphorescence polarization data.⁷⁹

Table 16. Electronic triplet transitions. The lowest $n-\pi^*$ and $\pi-\pi^*$ triplets. Transition frequencies in kK.

	Cal	culations		Obser	rvation	
Molecule				Marzacco,48 crystal spectra		
	Sym.	ν(I)	v(II)	Sym.	v ₀₋₀	
Phthalazine	$^{8}B_{2}$ $\pi - \pi^{*}$ $^{8}B_{1}$ $n - \pi^{*}$ $^{8}A_{2}$ 9 $^{8}A_{1}$ $\pi - \pi^{*}$	$20.4 \\ 24.1$	7.0 25.2 28.9 5.7	⁸ B₂		
Cinnoline	$egin{array}{l} n - \pi^* \ \pi - \pi^* \ n - \pi^* \ \pi - \pi^* \end{array}$	24.0	19.2 7.2 25.3 5.9			
Quinazoline	$\begin{array}{c} \pi-\pi^* \\ n-\pi^* \\ \\ \end{array}$ $\pi-\pi^*$	$22.1 \\ 25.4$	3.9 25.2 29.4 5.9	$\pi - \pi^*$	a	
Quinoxaline	${}^{8}B_{2}$ $\pi - \pi^{*}$ ${}^{8}B_{1}$ $n - \pi^{*}$ ${}^{3}A_{2}$ 9 ${}^{8}B_{2}$ $\pi - \pi^{*}$	20.4 26.1	7.0 23.8 29.8 3.4	${}^{8}B_{2} \ {}^{8}B_{1}$	a,b ~24.4°	
1,5-Naphthpyridine	$egin{array}{l} \pi-\pi^* \ n-\pi^* \ \pi-\pi^* \ \end{array}$	24.3 20	3.9 27.7 3.2 7.8			

a Lowest triplet.

publication. Observations and identifications of higher $n-\pi^*$ transitions are very scarce. For pyridine, pyrazine, and sym-triazine, the present investigation predicts a second allowed $n-\pi^*$ transition in the far UV, where they are probably hidden by Rydberg transitions. They may perhaps be revealed by polarization measurements. For pyridine, a forbidden $n-\pi^*$ transition of symmetry 1A_2 is found in the region of the second $\pi-\pi^*$ transition 1A_1 . In pyrimidine, a second allowed 1B_1 $n-\pi^*$ transition is obtained in a region where there are experimental evidence for an $n-\pi^*$ transition. For pyrazine, Innes et al. 40 give $^1B_{3u}$ as a tentative assignment of a fragmentary system observed around 54 kK. The present investigation obtains an $n-\pi^*$ singlet in that region, but of symmetry $^1B_{1g}$ (forbidden). A second allowed $n-\pi^*$ singlet is obtained around 50 kK for pyridazine (1B_1) and sym-tetrazine ($^1B_{3u}$). For pyridazine, this is in accordance with experiments, 40 while the corresponding sym-tetrazine band has not yet been observed as far as is known to the present author. The third allowed $n-\pi^*$ singlet transition is predicted to be found in the far UV for both pyridazine and sym-tetrazine.

^b El-Sayed and Brewer. 80

^c A diffuse absorption that commences at around 24.4 kK.

Table 17. Electronic triplet transitions. The lowest $n-\pi^*$ and $\pi-\pi^*$ triplets. Transition frequencies in kK.

	Cal	culations		Obs	ervation	
Molecule				Marzacco,48 crystal spectra		
	Sym.	ν(I)	v(II)	Sym.	v ₀₋₀	
Phenazine	${}^{8}B_{14}$ $\pi - \pi^{*}$ ${}^{8}B_{2a}$ »	12. 19.		⁸ B _{1#}	15.3	
	${}^{3}B_{3g}^{3g}$ » ${}^{3}B_{3u}$ $n-\pi^{*}$ ${}^{3}B_{2u}$ $\pi-\pi^{*}$	20.1	22.8	${}^{3}B_{\mathbf{3u}}$	~ 20.8	
9,10-	${}^3B_1 n-\pi^* \ {}^3B_2 \pi-\pi^*$	14.0	17.4	${}^{3}B_{1} \ {}^{3}B_{2}$	$18.6 - 23.1^a$ 18.568^b	
Diazaphenanthrene	${}^{8}A_{2}$ $n-\pi^{*}$ ${}^{8}A_{1}$ $\pi-\pi^{*}$	22.8	23.7	- 2		
Imidazole	$n-n^*$ $n-n^*$	27.5	.8 35.1			
	$\pi - \pi^*$	33 40	.7			
9H-Purine	$\pi - \pi^*$ $n - \pi^*$	25.4	.1 29.1		25 ^c	
211-r urme	n — π + ** * *	26.3 27.2	31.3 32.4			
	$\pi - \pi^*$	20				
7H-Purine	$n-\pi^*$	21.6 25.0	25.5 29.8			
	»	25.7	31.6		1	

⁴ A continuous absorption region.

^c Cohen and Goodman.⁸¹

A great number of electronically forbidden $n-\pi^*$ singlet transitions are found in the present investigation. Some of the results can be used for assignments of now available experimental data. The $^1B_{2g}$ band of pyrazine has already been mentioned in Section II. In sym-triazine, the observed band at 32.500 cm^{-1} can be assigned as a $^1E''$ transition, also suggested by Brinen and Goodman. The intense sharp system observed at 55.782 cm^{-1} for sym-triazine has been assigned 40 as $^{1}A_{2}''$ (allowed $n-\pi^*$) or $^{1}E'$ (allowed $n-\pi^*$), while the present investigation suggests $^{1}E''$ (forbidden $n-\pi^*$) or $^{1}A_{1}'$ (forbidden $n-\pi^*$) as possible assignments. For sym-tetrazine, the calculations give as much as four forbidden $n-\pi^*$ transitions in the region 30-40 kK, where experimentally a shoulder of the first $n-\pi^*$ singlet band is found.

Yonezawa et al.²⁹ have published the two (for pyrimidine three) lowest $n-\pi^*$ singlets from their all valence calculations. For the diazines, they obtain the same ordering of these levels as found in the present investigation, but their calculated transition energies deviate more from experimental

^b Assigned as the lowest triplet. $E(^3B_1) - E(^3B_2) \sim <1400$ cm⁻¹.

values. Del Bene and Jaffé ³⁰ have only quoted data for the lowest $n-\pi^*$ singlets from their CNDO calculations.

As shown in Table 15, the obtained relative order (from calculation I) between the lowest $n-\pi^*$ and $\pi-\pi^*$ triplet transitions is in accordance with available experimental data. Pyridine and pyrimidine have $\pi-\pi^*$ states as lowest triplets, while an $n-\pi^*$ state is the lowest triplet for the other molecules studied. In pyrimidine, the 3A_1 $(\pi-\pi^*)$ and 3B_1 $(n-\pi^*)$ are found to be very close in energy.

This is one of the cases for which Hochstrasser and Marzacco 45 have suggested that the interpretation of experimental spectroscopic data might be complicated by the possibility of the breakdown of the Born-Oppenheiner

approximation.

Azanaphthalenes, phenazine and 9,10-diazaphenanthrene. Calculated singlet transitions are given in Tables 6–10. As for azabenzenes, the results of calculation I (sp² hybridization) seem to be in somewhat better overall agreement with experimental data than the results of calculation II (10 % s character), although the main pattern for almost all molecules treated is the same for both calculations. In this context, it should be stressed that the present simple method cannot be expected to account for finer details of azine spectra depending on, e.g., large geometrical change between ground and excited states,⁵² the breakdown of the Born-Oppenheimer approximation ⁴⁵ and strong core charge rearrangements in the excited states. Until these effects can be accounted for by a more refined theoretical approach, the present semi-empirical method with all its limitations can be used as a first step in making assignments of the electronic states of nitrogen-containing molecules.

According to calculation I of the present investigation, phthalazine has two $n-\pi^*$ singlet transitions below the first $\pi-\pi^*$ singlet, as was also suggested by Mason ⁵³ from solution spectral data. The lowest $n-\pi^*$ singlet state is predicted to be a weakly allowed state of symmetry 1B_1 , and the second $n-\pi^*$ state a forbidden state of symmetry 1A_2 (Table 6). As can be seen from Tables 4 and 11, 1B_1 is also predicted to be the lowest singlet state in the other ortho-diazines, belonging to the same symmetry group, namely, pyridazine and 9,10-diazaphenanthrene, where there are experimental verifications that the lowest band is of symmetry 1B_1 . 40 , 43 From a vibrational analysis of crystal spectra of phthalazine, Hochstrasser and Marzacco 43 , 44 also conclude that there are two $n-\pi^*$ singlet states preceding the lowest $\pi-\pi^*$ singlet, but they make the assignment that both are 1A_2 states. Their data do not, however, exclude 1B_1 as a possible assignment of the lowest band. The two bands overlap considerably, thereby complicating the vibrational analysis.

Calculated triplet states are given in Tables 16 and 17, together with Marzacco's crystal absorption spectral data.⁴³ Most two- and three-ring azines are expected to have a lowest $\pi-\pi^*$ triplet state (Ref. 47, Chapter 6). The results of the present investigation tend to confirm this rule, the only exceptions being the *ortho*-diazines cinnoline and 9,10-diazaphenanthrene.

For phthalazine, the present investigation predicts the lowest triplet to be a 3B_2 $(\pi-\pi^*)$ state in accordance with conclusions made by Marzacco 45 from a study of the phosphorescence spectrum in ethanol and acidic ethanol solutions at 77°K. Another assignment has been proposed by Lim and Yu 54

who assign the lowest triplet to be a $^{8}n-\pi^{*}$ state from a comparison of the phosphorescence spectrum of phthalazine in hydrocarbon and hydroxyl solvents.

From ESR measurements on the phosphorescent state of cinnoline, Vincent and Maki 55 suggest that this is an $n-\pi^*$ triplet state. The present investigation indicates two close lowest triplet states, where, according to calculation I, the $n-\pi^*$ state is the lowest with a $\pi-\pi^*$ state close above, while calculation II yields the reversed order. This is an example of details of spectra for which the present method cannot give a final answer. A similar example can be found for 9,10-diazaphenanthrene. The nature of the triplet states of this molecule has been widely discussed in the literature (see, for instance, Ref. 47. Chapters 3 and 6). In accordance with experimental data, the present method gives two close lying transitions. Calculation I gives ${}^{3}B_{1}$ $(n-\pi^{*})$ as the lowest triplet state, while calculation II places 3B_2 $(\pi-\pi^*)$ as the lowest triplet, with a state 3B_1 only 700 cm⁻¹ above 3B_2 . On the basis of a vibrational analysis of the lowest energy absorption band, Hochstrasser and Marzacco assign the lowest triplet to be a ${}^{3}B_{2}$ transition, followed by a ${}^{3}B_{1}$ transition with an energy gap estimated to be < 1400 cm⁻¹. As mentioned previously, the present calculations will give triplet energies up to around 8000 cm⁻¹ too low. In order to estimate whether a triplet state lies above or below the lowest singlet state one has consequently to add 8000 cm⁻¹ to the published values. Thus, in quinoxaline, as an example, the triplet states 3B_2 and 3B_1 are predicted to lie below the lowest singlet ${}^{1}B_{1}$, whereas the position of the ${}^{3}A_{2}$ level, relative ¹B₁ cannot be clearly estimated by the present method. De Groot et al. ⁵⁶ have experimentally determined the path of entry into and exit from the phosphorescent triplet state ³B₂ from measurements of phosphorescence decay after flash excitation and from optical detection of the electron resonance transitions between the spin components. They conclude that the path of intersystem crossing is ${}^{1}B_{1} - {}^{3}B_{2}$ instead of a previous estimate ${}^{1}B_{1} - {}^{3}A_{2}$. 57-58 Lim and Yu 54 make the same conclusion from their phosphorescence spectral data. It would of course be desirable to obtain an experimental determination of the position of the ${}^{3}A_{2}$ band.

Imidazole and purines. Calculated singlet transitions are given in Tables 13 and 14, triplets in Tables 16 and 17. The $\pi - \pi^*$ transitions have been discussed in a recent publication by Fischer-Hjalmars and Nag-Chaudhuri, 22 where also a review of previous experimental and theoretical investigations is given. There are very few observations of $n-\pi^*$ transitions of these molecules. Mason ⁵⁹ in a review article states that no $n-\pi^*$ transitions have been found in five-membered heteroaromatic molecules. Yet, Braude 60 has published experimental evidence for a weak $n-\pi^*$ singlet of imidazole at around 40 kK. The present calculations give the lowest $\bar{n}-\pi^*$ singlet in that region well separated from the lowest $\pi - \pi^*$ singlet. The lowest triplet is predicted to be a $\pi - \pi^*$ state. For both 9H-purine and 7H-purine, the calculations give three $n-\pi^*$ singlet transitions below the first $\pi-\pi^*$ singlet. Like Mason, 59 Clark and Tinoco 61 have observed weak bands for 9H-purine at around 34 kK which they assign as due to $n-\pi^*$ transitions, in accordance with the present results. Rich and Kasha 62 also found experimental evidence for an $n-\pi^*$ transition on the long wave length side for biological purines in contrast to the CNDO

results by Giessner-Prettre and Pullman 63,64 who found the lowest singlet transition to be $\pi - \pi^*$ in nature. Chen and Clark 65 have published polarized reflection spectra of purine crystals. From a simple correction for intermolecular interactions they have deduced approximate free-molecule polarization directions. Their observations are to be compared with calculations on 7H-purine, which is the form for purine in crystal phase. As can be seen from Table 14, the calculations by Fischer-Hjalmars and Nag-Chaudhyri, and the present author are in good agreement with their experimental findings.

The lowest triplet is assigned as 3 $(\pi - \pi^*)$ for both isomers, in accordance with assignments made by Cohen and Goodman.81

Acknowledgements. This investigation has been supported by grants from the Swedish Natural Science Research Council. The author wishes to express her gratitude to Prof. Inga Fischer-Hjalmars for her encouraging interest throughout the work, and also to Prof. L. Goodman who suggested a study of higher $n-\pi^*$ transitions in azabenzenes. Thanks are also due to Dr. Björn Roos for helpful discussions, to P.-O. Nerbrant for preliminary calculations on quinoxaline, and to Mr. Lars Norén for assistance in the numerical computations.

REFERENCES

- 1. Roos, B. and Skancke, P. N. Acta Chem. Scand. 21 (1967) 233.
- 2. Roos, B. Acta Chem. Scand. 21 (1967) 2318.
- 3. Fischer-Hjalmars, I. and Sundbom, M. Acta Chem. Scand. 22 (1968) 607.
- Hischer Hjalmars, I. and Standonn, M. Acta Chem. Scand. 22 (1968)
 Grabe, B. Acta Chem. Scand. 22 (1968) 2237.
 Jensen, H. and Skancke, P. N. Acta Chem. Scand. 22 (1968) 2899.
 Höjer, G. Acta Chem. Scand. 23 (1969) 2589.
 Gropen, O. and Skancke, P. N. Acta Chem. Scand. 23 (1969) 2685.

- 8. Skancke, A. and Skancke, P. N. Acta Chem. Scand. 24 (1970) 23.
- Samers, A. and Skancke, T. N. Acta Chem. Scand. 24 (1970) 1373.
 Seybold, P. G. and Fischer-Hjalmars, I. Acta Chem. Scand. 24 (1970) 1768.
 Gropen, O. and Skancke, P. N. Acta Chem. Scand. 24 (1970) 1768.
 Anno, T., Matubara, I. and Sado, A. Bull. Chem. Soc. Japan 30 (1957) 168.
 Anno, T. J. Chem. Phys. 29 (1958) 1131; 32 (1960) 867.

- 13. Anno, T. and Sado, A. J. Chèm. Phys. 26 (1957) 1759; 29 (1958) 1170; 32 (1960) 619.
- 14. Hohlneicher, G. and Sänger, W. In Bergmann, E. D. and Pullmann, B. The Jerusalem Symposia on Quantum Chemistry and Biochemistry, Academic, New York—London 1970, Vol. II, p. 193.

 15. Sundbom, M. Acta Chem. Scand. 22 (1968) 1317.
- 16. Roos, B. and Sundbom, M. J. Mol. Spectry. 36 (1970) 8.
- 17. Turner, D. W. Advan. Phys. Org. Chem. 4 (1966) 31.
- Mason, S. F. J. Chem. Soc. 1959 1240.
 Innes, K. K., Simmons, J. D. and Tilford, S. G. J. Mol. Spectry. 11 (1963) 257.
 Robinson, G. W. and El-Sayed, M. A. Mol. Phys. 4 (1961) 273.
- 21. Cohen, B. J. and Goodman, L. J. Chem. Phys. 46 (1967) 713.
- 22. Fischer-Hjalmars, I. and Nag-Chaudhuri, J. Acta Chem. Scand. 23 (1969) 2963.
- Tinland, B. Theor. Chim. Acta 8 (1967) 361.
 Sundbom, M. In Bergmann, E. D. and Pullmann, B. The Jerusalem Symposia on Quantum Chemistry and Biochemistry, Academic, New York-London 1970, Vol. II, p. 56.
- 25. Baker, A. D. Ph. D. Thesis, London University 1968.
- 26. Dewar, M. J. S. and Worley, S. D. J. Chem. Phys. 51 (1969) 263.
- Clementi, E. J. Chem. Phys. 46 (1967) 4737.
 Petke, J. D., Whitten, J. L. and Ryan, J. A. J. Chem. Phys. 48 (1968) 953.
 Yonezawa, T., Kato, H. and Katô, H. Theor. Chim. Acta 13 (1969) 125.

- 30. Del Bene, J. and Jaffé, H. H. J. Chem. Phys. 48 (1968) 1807.
- 31. El-Bayoumi, M. A. and Khalil, O. S. J. Chem. Phys. 47 (1967) 4863. 32. Yencha, A. J. and El-Sayed, M. A. J. Chem. Phys. 48 (1968) 3469.
- 33. Del Bene, J. and Jaffé, H. H. J. Chem. Phys. 50 (1969) 563.
- 34. Johnsson, B.-Ö., Lindholm, E. and Skerbele, A. Int. J. Mass. Spectrom. Ion Phys. 3 (1969) 385. 35. Eland, J. H. D. Int. J. Mass. Spectrom. Ion Phys. 2 (1969) 471. 36. Jonsson, B. O. and Lindholm, E. Arkiv Fysik 39 (1969) 65.

- 37. Pullman, A. and Rossi, M. Biochim. Biophys. Acta 88 (1964) 211.
- 38. Mulliken, R. S. and Rieke, C. A. Rept. Progr. Phys. 8 (1941) 231.

- Mulliken, R. S. J. Chem. Phys. 23 (1955) 1997.
 Innes, K. K., Byrne, J. P. and Ross, I. G. J. Mol. Spectry. 22 (1967) 125.
 Hochstrasser, R. M. and Marzacco, C. J. Chem. Phys. 45 (1966) 4681.
 Clarke, R. H. and Hochstrasser, R. M. J. Chem. Phys. 47 (1967) 915.
- 43. Marzacco, C. Ph. D. Thesis, Univ. of Pennsylvania 1968.
- Hochstrasser, R. M. and Marzacco, C. J. Chem. Phys. 48 (1968) 4079.
 Hochstrasser, R. M. and Marzacco, C. J. Chem. Phys. 49 (1968) 971.
- 46. Clarke, R. H., Hochstrasser, R. M. and Marzacco, C. J. Chem. Phys. 51 (1969) 5015.
- 47. McGlynn, S. P., Azumi, T. and Kinoshita, M. Molecular Spectroscopy of the Triplet State, Prentice Hall, Englewood Cliffs 1969.
- 48. El-Sayed, M. A. and Robinson, G. W. J. Chem. Phys. 34 (1961) 1840; 35 (1961) 1897.
- 49. El-Sayed, M. A. In Lim, E. C. Molecular Luminescence, Benjamin, New York 1969, o. 715.
- 50. Innes, K. K., McSwiney, Jr., H. D., Simmons, J. D. and Tilford, S. G. J. Mol. Spectry. 31 (1969) 76.
- 51. Brinen, J. S. and Goodman, L. J. Chem. Phys. 35 (1961) 1219.
- 52. Merer, A. J. and Innes, K. K. Proc. Roy. Soc. A 302 (1968) 271.
- 53. Mason, S. F. J. Chem. Soc. 1962 493.
- 54. Lim, E. C. and Yu, J. M. H. J. Chem. Phys. 49 (1968) 3878.
- 55. Vincent, J. C. and Maki, A. H. J. Chem. Phys. 42 (1965) 865.
- 56. De Groot, M. S., Hesselmann, I. A. M., Schmidt, J. and Van der Waals, J. H. Mol. Phys. 15 (1968) 17.
- 57. Van Der Waals, J. H. and De Groot, M. S. In Zahlan, A. The Triplet State, Cambridge, London 1967, p. 101.
- 58. De Groot, M. S., Hesselman, I. A. M. and Van Der Waals, J. H. Mol. Phys. 12 (1967)
- 59. Mason, S. F. In Katritzky, A. R. Physical Methods in Heterocyclic Chemistry, Academic, New York-London 1963, Vol. II, p. 60.
- 60. Braude, E. A. Ann. Repts. Progr. Chem. 42 (1945) 105.
- Clark, L. B. and Tinoco, Jr., I. J. Am. Chem. Soc. 87 (1965) 11.
 Rich, A. and Kasha, M. J. Am. Chem. Soc. 82 (1960) 6197.
- 63. Giessner-Prettre, C. and Pullman, A. Theor. Chim. Acta 9 (1968) 279.
- 64. Pullman, A. Int. J. Quantum Chem. 2 \$ (1968) 187.
- 65. Chen, H. H. and Clark, L. B. J. Chem. Phys. 51 (1969) 1862.
- 66. Herbstein, F. H. and Schmidt, G. M. J. Acta Cryst. 8 (1955) 399.
- 67. Hirt, R. C., King, F. T. and Cavagnol, J. C. J. Chem. Phys. 25 (1956) 574.
 68. Müller, R. and Dörr, F. Z. Elektrochem. Ber. Bunsenges. Phys. Chem. 63 (1959) 1150.
 69. Wait, S. C. and Grogan, F. M. J. Mol. Spectry. 24 (1967) 383.
- 70. Favini, G. and Bellobono, I. R. Rend. Ist. Lombardo Sci., Lettere A 99 (1965) 380.
- Hasegawa, Y., Amako, Y. and Azumi, H. Bull. Chem. Soc. Japan 41 (1968) 2608.
 Hasegawa, Y., Amako, Y. and Azumi, H. Bull. Chem. Soc. Japan 42 (1969) 840.
- 73. Kummer, F. and Zimmermann, H. Ber. Bunsenges. Phys. Chem. 71 (1967) 1119. 74. Favini, G., Vandoni, I. and Simonetta, M. Theor. Chim. Acta 3 (1965) 418.
- 75. Hochstrasser, R. M. J. Chem. Phys. 36 (1962) 1808.
- 76. Perkampus, H.-H. and Kortüm, K. Z. physik. Chem. (Frankfurt) 56 (1967) 73.
- 77. Badger, G. M. and Walker, I. J. Chem. Soc. 1956 122.
 78. Gelus, M. and Bonnier, J.-M. J. Chim. Phys. 64 (1967) 1602.
- 79. Loustaneau, G. Compt. Rend. 261 (1965) 3763.

- El-Sayed, M. A. and Brewer, R. G. J. Chem. Phys. 39 (1963) 1623.
 Cohen, B. J. and Goodman, L. J. Am. Chem. Soc. 87 (1965) 5487.
 Turner, D. W. Molecular Photoelectron Spectroscopy, Wiley, London, New York, Sydney, Toronto 1970.

Received June 13, 1970.